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The mycotoxin definition reconsidered towards fungal cyclic depsipeptides

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Abstract

Currently, next to the major classes, cyclic depsipeptides beauvericin and enniatins are also positioned as mycotoxins. However, as there are hundreds more fungal cyclic depsipeptides already identified, should these not be considered as mycotoxins as well? The current status of the mycotoxin definition revealed a lack of consistency, leading to confusion about what compounds should be called mycotoxins. Because this is of pivotal importance in risk assessment prioritization, a clear and quantitatively expressed mycotoxin definition is proposed, based upon data of widely accepted mycotoxins. Finally, this definition is applied to a set of fungal cyclic depsipeptides, revealing that some of these should indeed be considered as mycotoxins.

Keywords

Toxicity, cyclic depsipeptides, mycotoxins, definition, hazard, risk

1. Introduction

The cyclic depsipeptides beauvericin (BEA) and enniatins (ENNs) have recently been positioned as mycotoxins, i.e. metabolites that are at least carcinogenic or toxic in experimental systems (1- 3). However, as there are hundreds more fungal cyclic depsipeptides already identified, an important question arises: should these not be considered as mycotoxins as well? An answer to this question is urgently required, due to its impact on the priority status in risk assessment. To determine whether or not they should be considered as mycotoxins, these compounds should meet the criteria posed by the mycotoxin definition. However, since the term was first introduced in the mid 1950s, when it was discovered that aflatoxins, which are secondary metabolites from the fungus *Aspergillus*, had caused the death of more than 100,000 turkeys in the England"s poultry industry (4-6), a lack of consistency in the definition and use of the word "mycotoxin" has arisen. Today a huge amount of information about mycotoxins has been already available, but in scientific literature, the authors do not all share the same vision about what should be called a mycotoxin. A re-evaluation of the traditional concepts is thus most certainly required. Therefore, by means of a literature review, we propose here a clear, unambiguous and quantitatively expressed definition, based upon data of some already well-known and widely accepted mycotoxins, allowing more awareness of the now underestimated potential hazard of some of these metabolites. Moreover, we apply our definition to a set of fungal cyclic depsipeptides to determine whether or not these metabolites should be classified as mycotoxins.

2. The mycotoxin definition: current status

In Table 1, an overview of the different mycotoxin definitions found in the literature is presented. Although it is clear that the used definitions differ greatly, by the term "mycotoxins"

they all mean compounds produced by fungi which are potentially toxic to a certain degree. So all definitions have similarities, however, some of them include more details concerning certain aspects, whereas others are more detailed about other aspects.

Additionally, Berthriller and co-workers defined a mycotoxin subgroup, termed "masked" mycotoxins, as mycotoxin derivatives that are undetectable by conventional analytical techniques because their structure has been changed in the contaminated plant/crop (8). Others have introduced another terminology, namely 'emerging' mycotoxins, as mycotoxins represent an emerging food safety (26,27). According to the European Food and Safety Authority (EFSA) (28), an emerging risk can be defined as a risk to human, animal and/or plant health resulting from a newly identified hazard to which a significant exposure may occur, or from an unexpected new increased significant exposure and/or susceptibility to a known hazard.

Already several hundreds of mycotoxins have been identified, although only a few of them have been thoroughly investigated (14), with or without maximum acceptable levels regulated by law (29), and there are even indications that thousands of mycotoxins exist (30). The latter was based on a study comprising a database of 474 mycotoxins and fungal metabolites (31). However, it is not explicitly stated which of the investigated fungal metabolites were considered as mycotoxins, nor does it say that all investigated fungal metabolites are considered as mycotoxic. Finally, the European Mycotoxin Awareness Network (EMAN) (19) has raised the question of whether further important mycotoxins remain to be discovered.

Overall, the confusion concerning the "mycotoxin claim" is understandable and a few major shortcomings cannot be overlooked. It is obvious that there is definite disagreement about the mycotoxin definition, e.g. should mycotoxin identification and classification be viewed from a

hazard or risk point of view? Furthermore, do we consider a precautionary approach or adapt a rather wait-and-see policy? What is considered to be toxic, i.e. how should this toxicity be tested and what quantitative specification thresholds should be applied? Should structurally related compounds automatically be considered as mycotoxins, although they are not produced by the commonly referred fungi, such as *Aspergillus*, *Penicillium* and *Fusarium*, or although their toxicity has not yet been (fully) evaluated/elucidated, i.e. to what extent do we allow false negatives and positives?

3. Proposed mycotoxin definition

Something is a **mycotoxin** if and only if it is a **secondary metabolite** produced by micro**fungi**, posing a **health hazard** to human and vertebrate animal species by exerting a **toxic activity** on human or vertebrate animal cells *in vitro* **with 50% effectiveness levels < 1000 μM.**

3.1. Secondary microfungal metabolites

It is generally accepted that mycotoxins are indeed secondary fungal metabolites (7,8,11,12,14- 18,21,23-25). The term "mycotoxin" refers only to metabolites produced by microfungi and by convention thus excluding mushroom and yeast toxins. However, opinions remain unclear and divided about the type of microfungi and its occurrence, responsible for the production of mycotoxins. International organizations, i.e. EFSA (28), FAO (7) and FDA (15), as well as scientific researchers (9,23), explicitly state that it especially involves common fungi such as *Aspergillus*, *Penicillium* and *Fusarium.* However, these definitions are all agricultural/food oriented, focusing only on fungi that contaminate feed and food, thereby completely neglecting other possible sources of mycotoxin production. Therefore, this angle towards a proper and unambiguous mycotoxin definition seems insufficient, as traditional mycotoxins are also found

in air particles or on walls of badly maintained, unventilated, humid houses (18,25). Furthermore, marine derived fungi are not mentioned once, although these can also produce toxic secondary metabolites, some of which are structurally very similar to known mycotoxins such as beauvericin and enniatins, e.g. zygosporamide (32,33), causing thus a potential hazard not only to marine ecology, but also to humans through the fishing industry (34).

Thus, restricting the mycotoxin definition to common agricultural fungal origin is inadequate, because it underestimates the impact on the public health. Therefore, we suggest only including the term "microfungal secondary metabolites" as such into the definition, without further confining the fungal origin.

3.2. *Hazard*

The International Programme on Chemical Safety (IPCS) has harmonised the context of hazard and risk assessments. Some authors consider introduction via a natural route an important factor in the determination of mycotoxins (20) and therefore attach little importance to cytotoxicity tests or studies evaluating toxicity based on intraperitoneal injection (35). However, based on the information available, the whole mycotoxin definition, discussion should, in our opinion, be best placed within the context of hazard assessment, an important and first part of risk assessment, since the intrinsic toxicity of a compound cannot be altered, meaning that it will always remain a hazard, because it possesses the potential to cause an adverse/toxic effect. Risk, on the other hand, through the process of exposure assessment to the hazard concerned, can be reduced by preventive actions and is very hard to unambiguously determine. Moreover, especially early on, the routes of exposure are usually not clarified. Therefore, while awaiting more toxicity exposure data concerning hazardous compounds, which can take up to several years, a precautionary

approach is preferred above a long during risk policy. In this respect, cytotoxicity studies performed on human or vertebrate animal cells are a cost-effective and animal-friendly way to determine potential toxicity already at an early stage in the hazard assessment. The mycotoxins identified by this approach could first carefully be called 'pseudomycotoxins', while awaiting formal mycotoxin nomination, which involves exposure and risk assessment.

Also to be included in this discussion is the "low molecular weight" condition for defining a mycotoxin as proposed by Bennet and Klich (18), which is essentially directed towards exposure/risk and not a hazard, since high molecular weight compounds are considered not to be bioavailable due to unfavorable pharmacokinetic properties, i.a. not absorbed through cell membranes. In fact, it is generally accepted that small or low molecular weight molecules have a molecular weight (MW) cut-off of 600 -- 700 Da (36,37). This limit, however, based upon the Lipinski"s rule of five, is an artificial limit associated with the observation that the attrition rates of oral drug compounds in the clinical development are significantly reduced if the MW is kept below this 500 Da limit. Molecules with a MW below this cut-off are believed to rapidly diffuse across cell membranes so that they can reach intracellular sites of action, the molecular size thus reflects bioavailability, i.e. exposure and risk (38-40). Recently, it has been shown by our group that also larger cyclic depsipeptide mycotoxins such as beauvericin and enniatins, with MW"s up to 783.96 Da, are capable of crossing the human skin barrier and reaching the viable epidermis and dermis (41). Furthermore, a recent *in vivo* study in pigs demonstrated a high oral bioavailability (91%) for enniatin B1 (3), confirming also an earlier *in vitro* study, which assessed the biovailability of ENNs with Caco-2 cells to be 55-66% (42). Moreover, the mycotoxin bassianolide, which has been shown to be cytotoxic to human cell lines in the low µM

range, has a MW of 909.36 Da and thus already exceeding this low molecular weight cut-off (43,44). Also, toxic metabolites of high MW compounds, such as glycopeptides, may not be overlooked, as these can also pose an important health hazard. Therefore, a quantitative molecular weight restriction should not be included in the mycotoxin definition.

To further illustrate this, we would like to refer to the fumonisin paradox. Fumonisin B1 was confirmed to cause i.a. carcinoma, cirrhosis and nephrosis, but was shown to have a very poor oral bioavailability. These conflicting results are called the fumonisin paradox: "How can the toxin cause agriculturally significant diseases and possibly human cancer if it is not effectively absorbed after oral administration?" Shier explained that a higher bioavailability at lower doses, bioaccumulation and/or effective uptake of derivatives that are readily converted back in the body are plausible explanations. Also other important routes of exposure (e.g. dermal or respiratory) should be considered. As a consequence, the complete impact of a compounds threat will thus not be identified until all elements affecting oral bioavailability are understood (45).

3.3 Toxicity

Following the hazard approach, one could argue "*dosis sola facit venenum"*, meaning everything can be considered toxic as long as the dose is high enough: the amount of a substance is what makes it harmful, not the substance itself (Paracelsus, $16th$ century). Therefore, a quantitative toxicity limit is required as a condition for defining compounds as mycotoxins.

In a first approach, the most objective/standardised, economic and ethically acceptable way for measuring a compound's toxicity is screening it's *in vitro* cytotoxic capacity on various cell lines, preferably of human origin. *In vitro* tests in general have proven their value, i.a. in order to reduce animal/human toxicological studies and/or full-scale trials. Moreover, *in vitro-in vivo*

correlation mathematical models, which describe the relationship between an *in vitro* property and an *in vivo* response, are widely acknowledged. A direct cytotoxic effect is quantitatively expressed as IC_{50} , the concentration required for 50% inhibition of cell viability, a value generally agreed upon. The cell lines and concentration ranges used, together with the demonstrated IC_{50} of some already accepted mycotoxins are given in Supplementary Information S1. As we want to propose a quantitatively expressed definition, a toxicity IC_{50} threshold as a condition for claiming compounds as mycotoxins was set: IC_{50} < 1000 μ M. This value can be justified by the data from Supplementary Information S1, which show that of all studies the maximum IC_{50} values quantitatively reported for well-established mycotoxins are within the 100-1000 µM class and by the fact that other low-molecular molecules toxic to humans, like ethanol, are not considered mycotoxins because these are only toxic in high concentrations (46). Moreover, it is also important to note that multiple mycotoxins, such as ENNs, T-2, deoxynivalenol (DON), nivalenol (NIV), BEA and fusarenon X (FUS-X), can influence each other"s toxicity, showing synergistic, additive and antagonistic effects (27,47-49), considering the well-documented co-occurrence of mycotoxins in real-life (50). As the majority of the IC_{50} values are located between 1 and 100 μ M, the chosen upper limit of 1000 μ M can therefore also be considered as a 'worst case safety margin' for toxicity.

Moreover, it is recognised that toxic effects are not only concentration- but also time-dependent; therefore, both acute and chronic effects are of interest and are understood to be included in the mycotoxin definition. Furthermore, besides such direct cytotoxic effects, compounds can also exert indirect toxicity due to the formation of metabolites. It should thus be mentioned that a demonstrated *in vitro* toxicity does not per se implicate an *in vivo* effect and vice versa, e.g. *N-*

acyl metabolites are more cytotoxic than the parent fumonisin B1 (51). Therefore, we strongly encourage the inclusion of metabolites (from liver extracts, for example) in these *in vitro* cell tests, to lower the probability of false negatives. And what about newly discovered compounds, of which the toxicity has not yet been thoroughly investigated? Since a lack of toxicity data does not mean that the compound itself is not toxic or has no toxic potential, within the context of risk assessment prioritization and precautionary approach (see also section 3.2.), we suggest terming these compounds appropriately "potential mycotoxins", defined as: secondary metabolites produced by microfungi, posing a health hazard to human and animal species, but for which the toxic activity is not yet investigated, i.e. no IC_{50} values are available. It goes without saying that these compounds should be further investigated with a prioritization according to their exposure level to humans.

Lastly, it should also mentioned that endocrine disrupting properties, which could occur at lower non-cytotoxic doses, might also suggest an important potential hazard and such effects have already been reported for the mycotoxins DON, ENN B, T-2 and HT-2 toxins (52,53). Screening potential mycotoxins for their endocrine disrupting properties in cell based assays (e.g. using U2OS and H295R cells) should therefore also be considered during hazard assessment.

4. Fungal cyclic depsipeptides

Lastly, we have applied our definition to a set of fungal cyclic depsipeptides (CDPs), in order to determine whether or not these should also be considered as (potential) mycotoxins.

4.1. Data handling

Structural and functional information, as well as the origin of the producing species of nearly 800 naturally occurring cyclic depsipeptides were gathered, of which 194 individual compounds were

found to be produced by fungi. Since these compounds have currently not yet been properly classified, although this information can be of great biological importance, i.a. understanding their structure-property relationships, these fungal cyclic depsipeptides were also subjected to a clustering analysis based upon their chemical properties. Considering that the majority of the compounds have already been categorised in small existing groups (e.g. enniatin A, B, A1, E, etc. all belong to the "enniatins group"), only a limited number of model compounds was selected, representative for the whole fungal CDP population. Therefore, sampling was done in a randomised way: first, all cyclic depsipeptides were stratified according to their already known existing groups, from which then randomly at least one representative cyclic depsipeptide compound per group was included. However, not every structure reported in literature has a completely clarified stereochemistry, which is of huge importance for many 3D descriptors, so wherever possible, another member of a group was taken. This strategy ultimately lead to 32 CDPs retained for further analysis. Consequently, more than 3000 molecular descriptors were calculated, using Dragon 5.5 (Talete, Milan, Italy), HyperChem 8.0 (Hypercube, Gainesville, FL, USA) and MarvinSketch 5.10.3 (ChemAxon, Budapest, Hungary), for which the MM+ in vacuo structure was optimized, using HyperChem 8.0. The non-discriminating descriptors were eliminated, resulting in a 32×1363 data-matrix. All descriptors were transformed by z-scaling, ensuring an equal contribution of each descriptor to the resulting model (54). Multivariate dataanalysis was performed using both principal component analysis (PCA) and hierarchical cluster analysis (HCA) with SIMCA-P+12.0.1.0 (Umetrics AB, Umea, Sweden) and SPSS Statistics 22.0.0 (IBM Corp., Armonk, NY, USA) software programs, respectively. Average-linkage HCA

clustering was performed using the Euclidean distance as the dissimilarity criterion. PCA resulted in an explained variance of PC1 = 0.51 and PC2 = 0.16 (cumulative R² of 0.67).

4.2. Chemical clustering classification

Based on the score plot of the first two principal components of the PCA, the 32 cyclic depsipeptides could be categorized into four main clusters with six subclusters, which is confirmed by the dendrogram of the HCA (Figure 1). The corresponding loading plot of the PCA allowed for further interpretation of these groups, by means of the most discriminating molecular descriptors displayed on both principal components. From this, it was deduced that components situated towards the right side of the space are larger, have larger ring sizes, have higher molecular weights and are folded and less flexible, i.e. have less conformational variability, compared to the compounds on the left. Cyclic depsipeptides located in the lower part of the space are more symmetrical than compounds located in the upper part. Other discriminating descriptors at the second axis are the number of terminal primary and tertiary carbons, which may indicate that CDPs located at the upper right part of the space most likely contain more valine, leucine, isoleucine amino acids and/or long branched alkyl chain(s). Moreover, a number of molecular descriptors indicate a higher presence of aromatic/benzene-like rings in CDPs at the lower side of the y-axis. More detailed information can be found in Supplementary Information S2.

4.3. Mycotoxin claim

For these compounds, classified according to the clustering analysis, (i) available toxicity data, (ii) their fungal origin and (iii) mycotoxin claim from literature as well as (iv) their mycotoxin claim resulting from our definition, are all gathered in Table 2.

Beside beauvericin and enniatins, only three of these (groups of) compounds have been previously called mycotoxins in scientific literature: bassianolide (44), beauverolides (71) and destruxins (76). *In vitro* cytotoxicity of these compounds has indeed been studied and was found significant $(< 100 \mu M)$. Therefore, based upon our proposed definition, these compounds should indeed be defined as mycotoxins. However, according to our definition, seven other fungal metabolites should also be considered as mycotoxins, namely 1962A, emericellamides, guangomides, PF1022A, sansalvamides, scopularamides and zygosporamide, of which sansalvamides and zygosporamide currently seem the most investigated and also the most toxic, based on the available data. So, for these identified hazards, further investigations, i.a. exposure and risk assessment, are strongly recommended. For the other cyclic depsipeptides mentioned in Table 2 no quantitative toxicity data are currently available and hence at this point, these peptides are considered as "potential mycotoxins" for which further toxicity testing is required.

5. Conclusions

Evaluation of the current status of the mycotoxin definition revealed a lack of consistency, confounding approaches and definite disagreement. We propose here a clear, unambiguous and quantitatively expressed mycotoxin definition, by means of explication and based upon hazard data of some already well-known and widely accepted "traditional" mycotoxins. This definition was then applied to a set of fungal cyclic depsipeptides concluding that some of these compounds should also be considered as mycotoxins, for which exposure and risk assessment investigations are to be considered.

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Table 1: Overview and current status of applied mycotoxin definitions.

n.a. Not available.

a Has literature ever referred (in any way) to this compound as being a mycotoxin?

b Already accepted mycotoxins, see also Table 2.

c No^o 12, 13, 14, 15, 16, 17 = enniatin A, A1, B, B1, C, D.

d And its analogues (*i.a.* bursaphelocides, pseudodestruxins, roseotoxin, roseocardin).

e No^o 21, 22 = guangomide A, B.

f These compounds" structures have not yet been fully elucidated. Therefore these were

excluded from the clustering analysis.

Figure 1. Clustering of 32 fungal cyclic depsipeptides. The four main clusters (blue, orange, pink and green clusters) and six subclusters (purple, yellow, black, red and grey clusters) are indicated by a bold coloured line. Number identifications can be found in Table 2. Left: HCA dendrogram. Right: PCA score plot of the first versus the second principal component.