

Potential Causality and Emerging Medical Therapies for Lipoprotein(a) and Its Associated Oxidized Phospholipids in Calcific Aortic Valve Stenosis

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Abstract: The prevalence of calcific aortic valve disease is increasing with aging of the population. Current treatment options for advanced or symptomatic aortic stenosis are limited to traditional surgical or percutaneous aortic valve replacement. Medical therapies that impact the progression of calcific aortic valve disease do not currently exist. New pathophysiological insights suggest that the processes leading to calcific aortic valve disease are metabolically active for many years before and during the clinical expression of disease. The identification of genetic and potentially causal mediators of calcific aortic valve disease allows opportunities for therapies that may slow progression to the point where aortic valve replacement can be avoided. Recent studies suggest that approximately one-third of aortic stenosis cases are associated with highly elevated lipoprotein(a) [Lp(a)] and pathways related to the metabolism of procalcifying oxidized phospholipids. Oxidized phospholipids can be carried by Lp(a) into valve leaflets but can also be formed in situ from cell membranes, lipoproteins, and apoptotic cells. This review will summarize the clinical data implicating the potential causality of Lp(a)/oxidized phospholipids, describe emerging therapeutic agents, and propose clinical trial designs to test the hypothesis that lowering Lp(a) will reduce progression aortic stenosis and the need for aortic valve replacement. (*Circ Res.* 2019;124:405-415. DOI: 10.1161/CIRCRESAHA.118.313864.)

Key Words: aortic valve ■ cell membranes ■ lipoprotein(a) ■ phospholipids ■ prevalence

Calcific aortic valve disease is a prevalent disorder that affects patients starting at middle age, with the incidence accelerating rapidly as individuals age beyond >80 years old.^{1,2} It is estimated that >4.5 million cases of clinically evident aortic stenosis (AS) will be present globally by 2030.³ The relatively poor outcomes of aortic valve replacement (AVR) in the elderly have led to the development and rapid implementation of transcatheter AVR.⁴ Although transcatheter AVR provides an excellent option for inoperable or moderate- to high-risk disease, patients with advanced AS continue to have poor prognosis because of advanced age and comorbidities that limit overall survival despite optimal technical results.⁵ AS, unlike unstable atherosclerosis-mediated vascular disease, does not occur in episodic catastrophes of acute presentations, but in a slower progression of valvular orifice narrowing for several years before the need of AVR/transcatheter AVR. Therefore, opportunities for earlier intervention in the disease process may become more realistic as the molecular mechanisms leading to AS are better understood. Although many potential pathways can be proposed,⁶ this review will focus on lipoprotein(a) [Lp(a)] and oxidized phospholipids (OxPLs) as potential etiological agents and targets of therapy for AS.

Risk Factors for AS

The contributors to AS are not fully defined, but broadly include pathways in lipid disorders, oxidative stress, the

renin-angiotensin-aldosterone system, calcification and bone metabolism, renal disease, metabolic syndrome, and intrinsic valve disease such as bicuspid aortic valve disease with attendant abnormal hemodynamic stresses.^{3,7,8} In addition, age, which is the strongest risk factor in most cardiovascular phenotypes because of summation of all known and unknown risk factors, is a very prominent risk factor for advanced AS. Initial interest in elevated LDL-C (low-density lipoprotein cholesterol) as a causal mediator waned when 4 randomized controlled trials with statins alone or statin/ezetimibe combination showed nearly identical echocardiographically determined progression rates between groups, despite 40% to 50% reductions in LDL-C versus placebo.⁹⁻¹² Although the mechanisms behind the failure of these trials are not definitively known, it reflects the fact that LDL-C and statin/ezetimibe therapy do not fully address the underlying pathophysiological mechanisms leading to calcific aortic valve disease. A recent study suggested that a weighted genetic risk score of LDL-C variants was predictive of aortic valve calcification and incident AS.¹³ In this study, although Lp(a) levels were balanced across groups because LDL-C contains the Lp(a) cholesterol content which can be substantial contribution to high LDL-C and high Lp(a) patients,^{14,15} it is not clear if these relationships would have been attenuated if the corrected LDL-C was used instead of measured LDL-C.

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Nonstandard Abbreviations and Acronyms

AS	aortic stenosis
AVR	aortic valve replacement
IL	interleukin
LDL	low-density lipoprotein
LDL-C	low-density lipoprotein cholesterol
Lp(a)	lipoprotein(a)
OxPL	oxidized phospholipid
PCSK9	proprotein convertase subtilisin type 9
RANKL	resorptive activity through the nuclear factor- κ B ligand
TNF	tumor necrosis factor
tPA	tissue-type plasminogen activator
VLDL	very-low-density lipoprotein
Vpeak	peak aortic jet velocity

Genetic and Potentially Causal Pathways for AS

Relevant to the current topic, elevated Lp(a) has been shown to be a risk factor for AS since the 1990s, but had not been fully appreciated (reviewed in Yeang et al¹⁶). In 2013, the CHARGE (Cohorts for Heart and Aging Research in Genomic Epidemiology Consortium) Consortium group first showed that the *LPA* gene, as reflected by single-nucleotide polymorphisms that were associated with highly elevated Lp(a) levels, was associated with aortic valve calcification and need for AVR in multiple diverse cohorts and racial/ethnic groups.¹⁷ Remarkably, of 2.5 million single-nucleotide polymorphisms measured or imputed, only *LPA* SN: rs10455872, present in up to 15% of individuals of European descent, reached genome-wide significance in this association. Interestingly, no replicated, genome-wide associations were noted in mitral valve calcification, suggesting that this association is unique to aortic valve disease and the physiological and hemodynamic factors that affect aortic valve leaflets. In the past 5 years, multiple studies have replicated these findings.^{18–25} The totality of evidence now includes over 10 000 patients that point to the *LPA* gene, which is responsible for >90% of circulating Lp(a) levels,²⁶ as a likely causal mediator of clinically relevant AS (Table 1). More recently, a gene in the vicinity of rs7543130, possibly the *PALMD* gene, which expresses a protein localized predominantly in actin filaments, has also been implicated in GWAS (Genome-Wide Association Studies) studies as a potential causal mediator.^{24,25}

Potential Mechanisms of Lp(a) and Oxidized Phospholipids Contributing to AS

The *LPA* gene is evolutionarily derived from and highly homologous to the plasminogen (*PLG*) gene.^{28,29} The *PLG* gene encodes 5 unique kringle domains and an active protease domain that is activated to plasmin by tPA (tissue-type plasminogen activators). The *LPA* gene, and therefore apo(a) (apolipoprotein(a)), differs from *PLG* in that it does not contain kringles I–III, but does contain KIV (kringle IV), KV but an inactive protease domain that contains the catalytic triad of plasminogen, but cannot be converted to a plasmin-like molecule because of amino acid substitutions at the site of cleavage of plasminogen activators. Furthermore, because of multiple duplication events during

evolution, the *LPA* gene has accumulated 10 copies of KIV that are each unique in amino acid sequence except for KIV₂. KIV contains 1 copy of KIV₁ and KIV_{3–10}, but variable copies of KIV₂, ranging from 1 to >40 on each allele among individuals and populations. KIV₂ repeats may differ in nucleic acid sequence but are identical in amino acid sequence (Figure 1).²⁶

Lp(a) is a unique lipoprotein that is composed of apo B₁₀₀ of an LDL-like particle, which is covalently attached to apo(a). The apo(a) component of Lp(a) is not a lipoprotein per se because it has no traditional lipid-binding domains. Apo(a), unlike lipid-laden apo B₁₀₀, is hydrophilic and sweeps out into the aqueous phase and may interact with vascular endothelium, as well as cellular receptors, to mediate pathophysiological effects. This allows Lp(a) to have divergent effects on vascular phenotypes that include atherosclerosis and AS.^{28,30}

Lipoproteins such as LDL and very LDL (VLDL) can passively diffuse through endothelial surfaces via concentration gradients, accumulate in subendothelial spaces, become oxidized, promote inflammation, and mediate atherogenesis.³¹ In a similar way, Lp(a) may permeate through vascular endothelium and provide a substrate for lipid peroxidation and inflammation.³² However, the apo(a) component has an additional mechanism to allow Lp(a) to accumulate in vascular tissue and also be retained to sub-endothelial surfaces. It contains at least 1 potent lysine-binding pocket composed of 7 amino acids present on KIV₁₀ that allows it to bind to exposed lysine on denuded endothelial surfaces and in the subendothelial matrix of vascular tissue.³³ A theoretical construct is proposed how Lp(a) may be taken up, retained, and induce calcification of aortic valve leaflets (Figure 1). Lp(a) along with its associated OxPLs binds to denuded aortic valve leaflet endothelium, is taken up and binds tightly to proteoglycans and other subendothelial structures, is permanently retained, and subsequently mediates proinflammatory and procalcifying effects.

OxPLs are present on Lp(a), oxidized LDL, apoptotic cells, oxidized cell membranes, and sites of inflammation. Lp(a) has also been shown to be the preferential lipoprotein carrier of certain species of OxPLs,^{34,35} which may impart additional and potent proinflammatory properties to Lp(a).^{36,37} OxPLs are present in both the lipid phase of the LDL moiety as well as covalently attached to unidentified amino acids in the KIV₁₀/V region of apo(a). In addition, such OxPLs have been shown to regulate over 1000 genes in aortic endothelial cells, many in inflammatory gene modules, as well as promote proinflammatory responses in macrophages, smooth muscle cells, dendritic cells, platelets, and monocytes, which may link Lp(a)/OxPLs to AS pathophysiology.^{38–42}

As an analogy of the proinflammatory effects of Lp(a) that may be occurring in the aortic valve, recent clinical data have shown that patients with elevated Lp(a) have increased arterial inflammation and enhanced peripheral blood mononuclear cells trafficking to the arterial wall as evidenced by an increased capacity to transmigrate and produce proinflammatory cytokines on stimulation with proinflammatory mediators versus patients with low Lp(a).⁴¹ In addition, exposure of monocytes derived from healthy donors could be shown to generate proinflammatory responses that could be inhibited by using apo(a) constructs lacking OxPLs or by coinubation of Lp(a) with the antibody E06, which binds OxPLs which

Table 1. Studies Suggesting Genetic Causality of Lp(a)/OxPL in AS

Study	Year	No. of AS Cases	Genetic Instrument	Key Findings
Thanassoulis et al ¹⁷	2013	500	LPA SNP rs10455872	Per allele, OR=2.05 for AV calcification, HR=1.68 for incident AS and 1.54 for AVR
Kamstrup et al ¹⁹	2014	454	LPA SNP rs10455872 and rs3798220 and KIV2 repeats	RR=1.6 for carriers of combined genotype vs noncarriers for incident AS
				OR=2.9 for Lp(a) 95th percentile vs <22nd percentile
Arsenault et al ²⁷	2014	497	LPA SNP rs10455872	Carriers HR=1.78 vs noncarriers Q5 vs Q1) for incident AS
Langsted et al ²⁰	2016	1437	PCSK9 R46L loss-of-function mutation	OR=0.64 for PCSK9 R46L for carriers vs noncarriers
Kamstrup et al ²²	2017	725	LPA SNPs rs10455872 and rs3798220 and KIV2 repeats	OR=3.2 for OPL-apoB and 2.9 for OxPL-apo(a) for 95th percentile vs <34th percentile
Cairns et al ²¹	2017	535	LPA SNPs rs10455872 and rs3798220	RR per minor allele: rs10455872 1.69, rs2798220 1.66
Chen et al ²³	2018	3469	LPA SNPs rs10455872 and rs3798220	RR per minor allele: rs10455872 1.34, rs2798220 1.31
Helgadottir et al ²⁴	2018	6886	LPA SNP rs10455872	Meta-analysis of 6 studies (Iceland, Sweden MDCS, Sweden Stockholm, UK biobank, Norway HUNT, USA Michigan) OR=1.46 for carriers vs noncarriers

apoB indicates apolipoprotein B; AS, aortic stenosis; AV, aortic valve; AVR, aortic valve replacement; HR, hazard ratio; HUNT, Nord-Trøndelag Health Study; KIV, kringle IV; Lp(a), lipoprotein(a); MDCS, The Malmö Diet and Cancer Study; OR, odds ratio; OxPL, oxidized phospholipid; Q5, quintile 5; RR, relative risk; and SNP, single-nucleotide polymorphism.

prevented release on monocyte-derived IL (interleukin)-6, IL-1β and TNF (tumor necrosis factor)-α by 50% to 75%. Although such studies have not yet been performed in valvular interstitial cells, it suggests a paradigm through which a similar mechanism may be responsible for aortic valve leaflet inflammation. OxPLs can be measured in plasma on apoB-containing lipoproteins and an extensive database of ≈50 original studies demonstrate that levels of OxPLs carried by

apoB-containing lipoproteins, and primarily by Lp(a) (measured as OxPL-apoB and OxPL-apo(a)), are robust predictors of a variety of CVD phenotypes and CVD events,⁴³⁻⁴⁵ and more recently in predicting development and progression of AS.^{22,46-48} In further proof of their association with AS, both Lp(a) and OxPLs are strongly present immunologically in surgically removed, pathologically advanced, aortic valve leaflets (Figure 2).^{48,49} In addition, elution of lipids from aortic valve

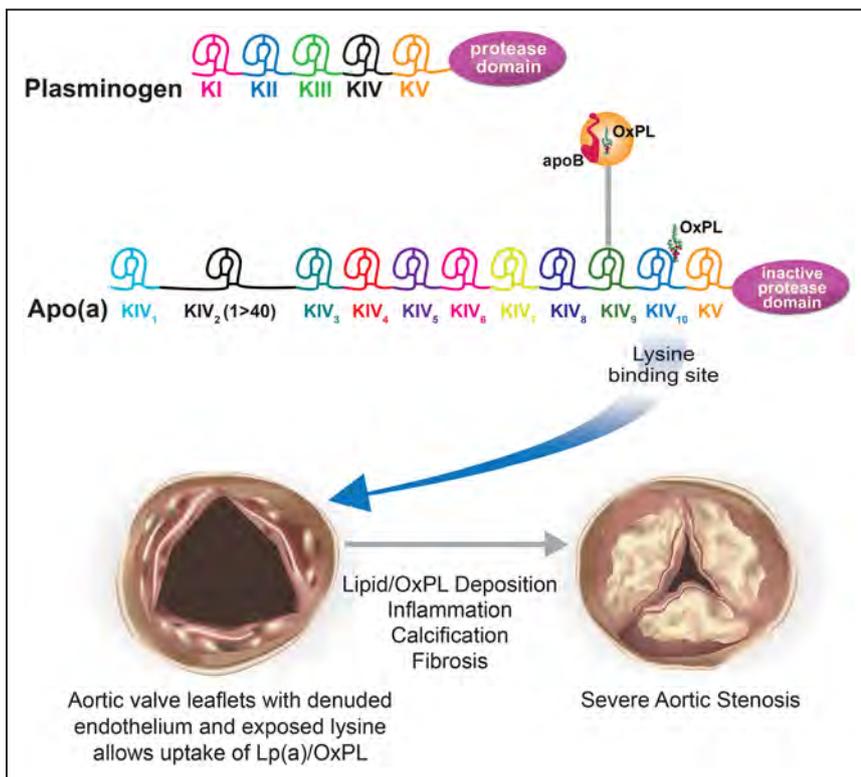


Figure 1. Cartoon of the physical characteristics of apo(a) (apolipoprotein(a)) and proposed mechanism through which it is bound to and retained in aortic valve leaflets. Plasminogen is composed of 5 unique kringles (protein unit with 3 disulfide bonds) and an active protease domain. In contrast, apo(a) has 10 unique copies of KIV (kringle IV), of which KIV₂ is present in 1 to >40 copies, KIV₅, KIV₁₀, and an inactive protease domain. It further contains oxidized phospholipids (OxPLs) covalently attached to a yet to be identified amino acid near KIV-V as well as variable amounts of OxPL in the LDL (low-density lipoprotein)-like particle. The lysine-binding site of KIV₁₀ allows attachment of apo(a) to exposed lysine on denuded vascular endothelium. This facilitates further uptake and retention of the entire lipoprotein(a) [Lp(a)] particle to the subendothelial spaces that can then mediate inflammation and calcification. Accumulation of OxPL mediates inflammation, calcification, and fibrosis.

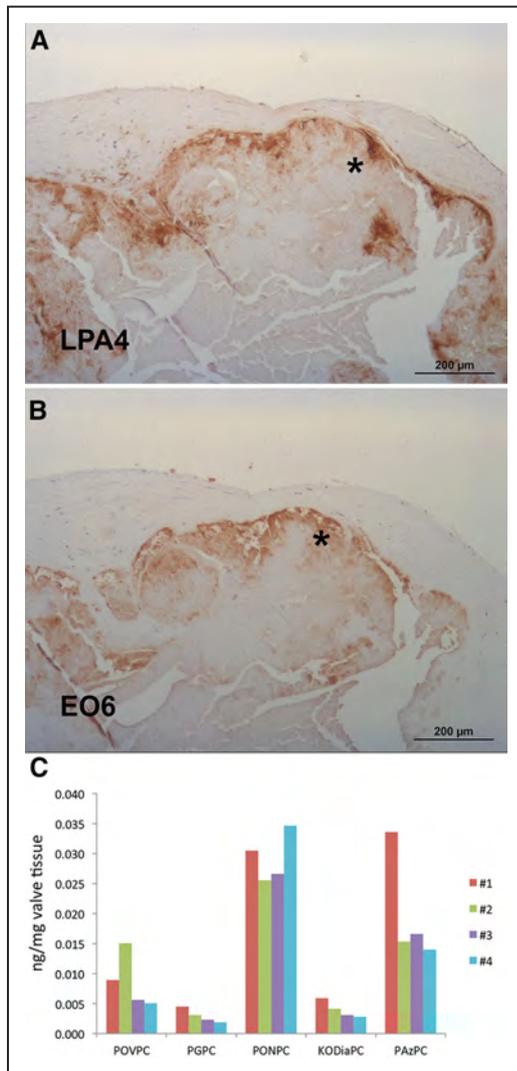


Figure 2. Histological and mass spectrometry presence of lipoprotein(a) [Lp(a)] and oxidized phospholipids (OxPLs) in aortic valve leaflets removed surgically. A, B, Sequential sections stained for apo(a) (apolipoprotein(a); antibody LPA4) and OxPL (antibody EO6). Note the colocalization of Lp(a)/OxPL and within heavily calcified areas (*). The aortic side of the valve is at the top. **C,** Reverse phase separation coupled with tandem mass spectrometry of the most abundant fragmented phosphocholine-containing OxPL (PC-OxPL) compounds extracted from 4 human aortic valve leaflets. KODia-PC indicates 1-[palmitoyl]-2-[5-keto-6-octene-diyl]-sn-glycero-3-phosphocholine; PAzPC, 1-palmitoyl-2-azelaoyl-sn-glycero-3-phosphocholine; PGPC, 1-palmitoyl-2-glutaryl-sn-glycero-3-phosphocholine; PONPC, 1-palmitoyl-2-[9'-oxononanoyl]-sn-glycero-3-phosphocholine; and POVPC, 1-palmitoyl-2-[5'-oxo-valeroyl]-sn-glycero-3-phosphocholine. Reprinted from Torzewski et al⁴⁸ with permission. Copyright ©2017, Elsevier.

leaflets and detection by LC/MS-MS (liquid chromatography with tandem mass spectrometry) show direct evidence of the presence of a variety of distinct species of phosphocholine-containing OxPLs known to be proinflammatory.⁴⁸

Besides proinflammatory effects, OxPLs are known to be influence microcalcification pathways in valve interstitial cells.³ Hypotheses have been proposed that atherosclerotic calcification in humans arises from chronic inflammation and that the most common source of chronic vascular inflammation is atherosclerosis, and its underlying contributing factor is accumulation of oxidized lipids. Although this is a highly

complex area with multiple potential contributors to calcific aortic valve disease,⁶ work in cell culture systems provides a rationale how oxidized lipids may partly mediate microcalcification pathways.⁵⁰ These include downregulation of osteoprotegerin that normally inhibits calcification by suppressing osteoclastic bone RANKL (resorptive activity through the nuclear factor- κ B ligand) and by stimulating extracellular matrix calcium deposition and upregulation of alkaline phosphatase leading to enhanced calcification.³ OxPLs may also act as substrates for the generation of lysophosphatidic acid by the enzyme autotaxin, which has been shown to promote the microcalcification of the aortic valve through a nuclear factor κ B/interleukin 6/bone morphogenetic protein pathway.⁴⁹ Human aortic valve interstitial cells exposed to purified Lp(a) from normal donors have recently been shown to significantly increase alkaline phosphatase activity, release of phosphate, calcium deposition, hydroxyapatite, cell apoptosis, matrix vesicle formation, an important mechanism in the initiation of microcalcification,⁵¹ phosphorylation of signal transduction⁵² proteins; increased expression of chondro-osteogenic mediators; and decreased SOX9 and matrix Gla protein.⁵²

Evidence From Clinical Studies on Relationship of Lp(a) and Oxidized Phospholipids in Patients With Preexisting AS

Although the genetic data point to causality of Lp(a) in mediating AS, they are limited in that they study incident AS, that is, the development of AS in patients without preexisting disease. At the bedside, the practicing cardiologist is faced with patients with preexisting AS in trying to ascertain prognosis and potential timing of surgery. In the ASTRONOMER trial (Aortic Stenosis Progression Observation: Measuring Effects of Rosuvastatin), which randomized patients to rosuvastatin 40 mg daily versus placebo, rosuvastatin showed no differences in echocardiographically determined annualized progression rate by peak aortic jet velocity (V_{peak}).¹² In a follow-up study from ASTRONOMER that addressed the Lp(a) hypothesis of AS, it was demonstrated that elevated Lp(a) (>58.5 mg/dL, $\approx >150$ nmol/L) and OxPL-apoB and OxPL-apo(a) levels at or above the highest tertile were strong predictors of the annualized progression rate and need for AVR over a median 3.5-year follow-up (Figure 3A and 3B).⁴⁶ Furthermore, and perhaps in further support of the Lp(a) hypotheses and failure of statin/ezetimibe therapy, rosuvastatin increased Lp(a) levels by 20% (mean ≈ 45 –55 mg/dL) and OxPL-apoB by 46% from baseline to year 1 (Figure 3C and 3D), which may also have mitigated any potential beneficial effect of LDL-C lowering. In another case-control study in 300 patients with AS plus coronary artery disease versus coronary artery disease alone, autotaxin, which generates lysophosphatidic acid from lysophosphatidylcholine, showed strong interactions with Lp(a), OxPL-apoB, and OxPL-apo(a), with patients with the highest autotaxin activity and Lp(a) or OxPL-apoB/OxPL-apo(a) having an elevated risk of AS with odds ratio of >5 .⁴⁷

To further define the genetic contribution of OxPL, a nested case-control study was performed within the Copenhagen General Population Study (N=87980) in 725 cases of AS and 1413 controls free of cardiovascular disease to test the hypothesis that risk is mediated by the content of OxPL on Lp(a).²²

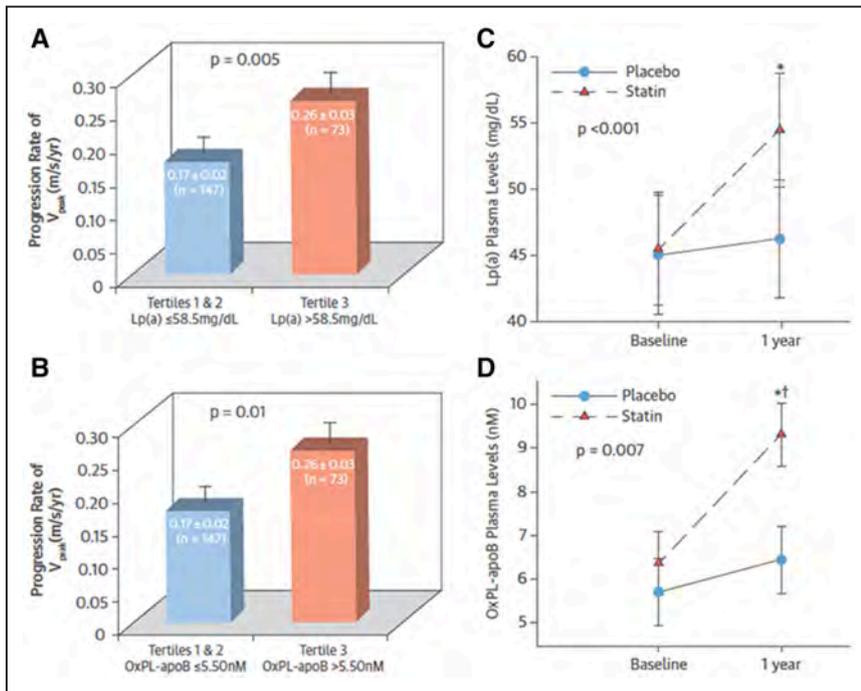


Figure 3. Annualized progression rates according to lipoprotein(a) [Lp(a)] and OxPL (oxidized phospholipid)-apoB (apolipoprotein B) and effect of rosuvastatin on Lp(a) and OxPL-apoB levels. Annualized progression rate of V_{peak} is compared by tertiles for Lp(a) (A) and OxPL-apoB (B). Change in plasma levels of Lp(a) (C) and OxPL-apoB (D) in patients randomized to statin vs those randomized to placebo during the first year of the study (ie, from baseline to 1 y). P values are for the 2-way ANOVAs. * $P < 0.05$ compared with baseline; † $P < 0.05$ compared with placebo. Error bars represent the SEM. Modified and reprinted from Capoulade et al⁴⁶ with permission. Copyright ©2015, Elsevier.

OxPL-apoB and OxPL-apo(a) levels were highest in individuals with low number or percentage of KIV₂ repeats, as well as those that carried the rs10455872 risk allele (Figure 4A), reaching an odds ratio 3.4 for levels >95th percentile, versus levels <34th percentile. LPA genotypes explained 34% and 39% of the total variation in OxPL-apoB and Lp(a) levels. Furthermore, OxPL-apoB and Lp(a) levels were comparable mediators of risk between LPA genotype and AS (Figure 4B).

Overall, these basic, translational, and clinical data support the hypothesis that a pathophysiological link through which Lp(a) may mediate CVAS is by delivering its content of lipids to the aortic valve and by mediating proinflammatory, proapoptotic and procalcifying effects via its content of OxPL and their breakdown products. In turn, inflammation induces fibrosis which further accelerates aortic valve thickening and loss of pliability, stenosis, and systolic orifice area reduction. These data further suggest several viable approaches to test the hypothesis of reducing risk of development or progression of calcific aortic valve disease through these pathophysiologically linked pathways, including lowering plasma levels of Lp(a) and OxPL, inactivating the proinflammatory effects of OxPL, or reducing the local generation of lysophosphatidic acid.

Emerging Therapies to Lower Lp(a)

Currently, there are no approved specific therapies to lower Lp(a). Niacin⁵³ and PCSK9 (proprotein convertase subtilisin type 9) inhibitors can lower Lp(a) $\approx 20\%$ to 30% ,^{54,55} but PCSK9 inhibitors which appear to have smaller effects ($\approx 18\%$ reduction) in patients with elevated Lp(a).⁵⁵ These modest reductions in Lp(a) are unlikely to be of sufficient robustness to impact the disease process, particularly in patients who may need >50% reduction in Lp(a) levels.^{56,57}

Traditional drug therapies generally include small molecules that inhibit enzyme activity or receptor function, such as HMG-CoA reductase inhibitors, or antibodies that bind to and

inactivate proteins, such as PCSK9 inhibitors (Figure 5A). These approaches are not likely feasible in treating elevated Lp(a) levels because Lp(a) has neither enzyme activity nor receptor functions, nor practical as the plasma concentration of Lp(a) is very high for antibody-based approaches to be considered safe in clearing the large mass of immune complexes that will be generated or cost-effective in requiring very large amounts of antibody. For example, apo(a) levels are 20- to 150-fold higher than PCSK9 levels (≈ 125 – 1000 versus ≈ 6 nmol/L). A fundamentally novel approach is to use RNA-targeted therapeutics and, specifically antisense oligonucleotides, to inhibit apo(a) mRNA production in the hepatocyte,⁵⁸ which is responsible for >99% of plasma apo(a) levels. This concept was first documented with the use of an apo B₁₀₀ mRNA inhibitor, mipomersen, that lowered Lp(a) by $\approx 75\%$ in Lp(a)-transgenic Lp(a) mice by limiting availability of apoB to assemble Lp(a).⁵⁹ The Lp(a)-lowering effect of mipomersen was subsequently confirmed in humans,⁶⁰ with $\approx 25\%$ reduction in Lp(a), but at lower equivalent doses compared with mice. However, this approach did not affect apo(a) levels which now circulated free without being bound to available apo B₁₀₀. Further refinements were made by specifically targeting of apo(a) mRNA in transgenic Lp(a) mice.⁶¹

This approach has been subsequently translated to humans in 3 trials.^{62,63} Following a successful phase 1 study in volunteers using IONIS-APO(a)_{Rx},⁶² a phase 2 study in subjects with highly elevated Lp(a) levels (1 group with Lp(a) 50–175 mg/dL and a second group with >175 mg/dL) showed a mean 72% reduction in Lp(a) levels in both groups (Figure 5B), along with a modest reduction (25%–50%) in OxPL-apoB and OxPL-apo(a) (Figure 5C and 5D).^{34,35,64} This molecule has been supplanted by IONIS-APO(a)-L_{Rx} which has the same nucleic acid sequence but differences in the backbone structure.⁶³ One additional important difference is that IONIS-APO(a)-L_{Rx} contains a hepatocyte-targeting moiety,

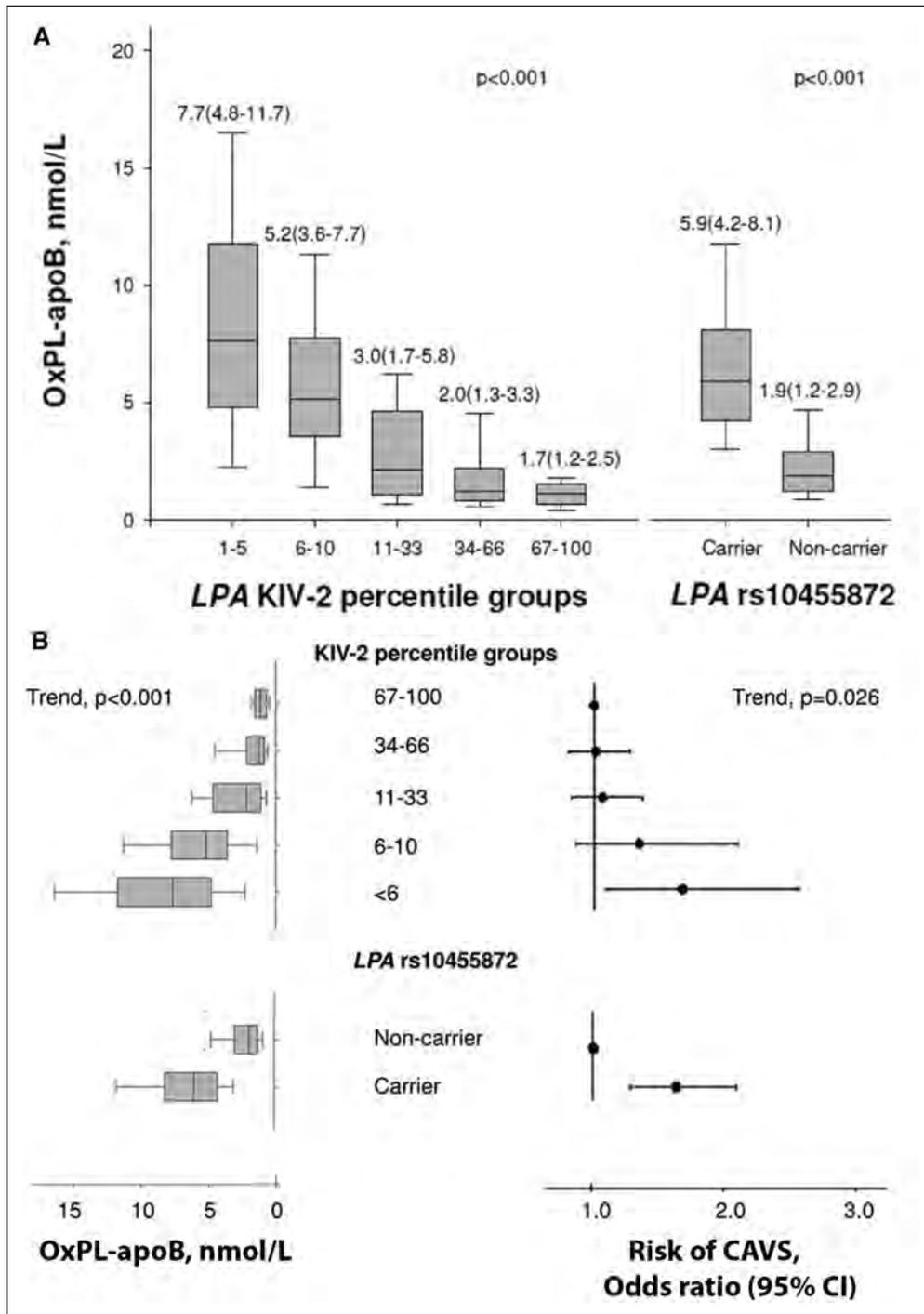


Figure 4. Relationship of oxidized phospholipids (OxPLs)-apoB (apolipoprotein B) with KIV2 (kringle IV) repeats and *LPA* single-nucleotide polymorphism (SNP) rs10455872. **A**, Percentile of KIV₂ repeats and carriers and noncarriers of *LPA* SNP rs10455872. **B**, The *LPA* genotype as reflected as either KIV₂ repeats or *LPA* SNP rs10455872. Both of these instruments are associated with elevated OxPL-apoB levels which are in turn associated with higher risk of aortic stenosis (AS). Modified and reprinted from Kamstrup et al²² with permission. Copyright ©2017, the American Heart Association.

triantennary *N*-acetylgalactosamine, specifically targeting the asialoglycoprotein receptor.⁶⁵ This results in ≈30-fold higher potency, allowing similar or greater efficacy with significantly lower doses, thus potentially improving the tolerability and side effect profile.⁶³ In a phase 1 trial in otherwise healthy volunteers with elevated Lp(a) levels given a dosing regimen that is equivalent of 10, 20, and 40 mg weekly, IONIS-APO(a)-L_{Rx} resulted in mean 68%, 80%, and 92% reductions

in Lp(a), as well as a decline in OxPL-apoB (Figure 5E and 5F). This robust potency suggests that most patients may be able to attain normal Lp(a) levels post-therapy, even if starting with highly elevated levels. A phase 2 safety and dose-ranging study (URL: <http://www.clinicaltrials.gov>. Unique identifier: NCT03070782-Phase 2 Study of ISIS 681257 [AKCEA-APO(a)-L_{Rx}] in Patients With Hyperlipoproteinemia(a) and Cardiovascular Disease) is currently ongoing.

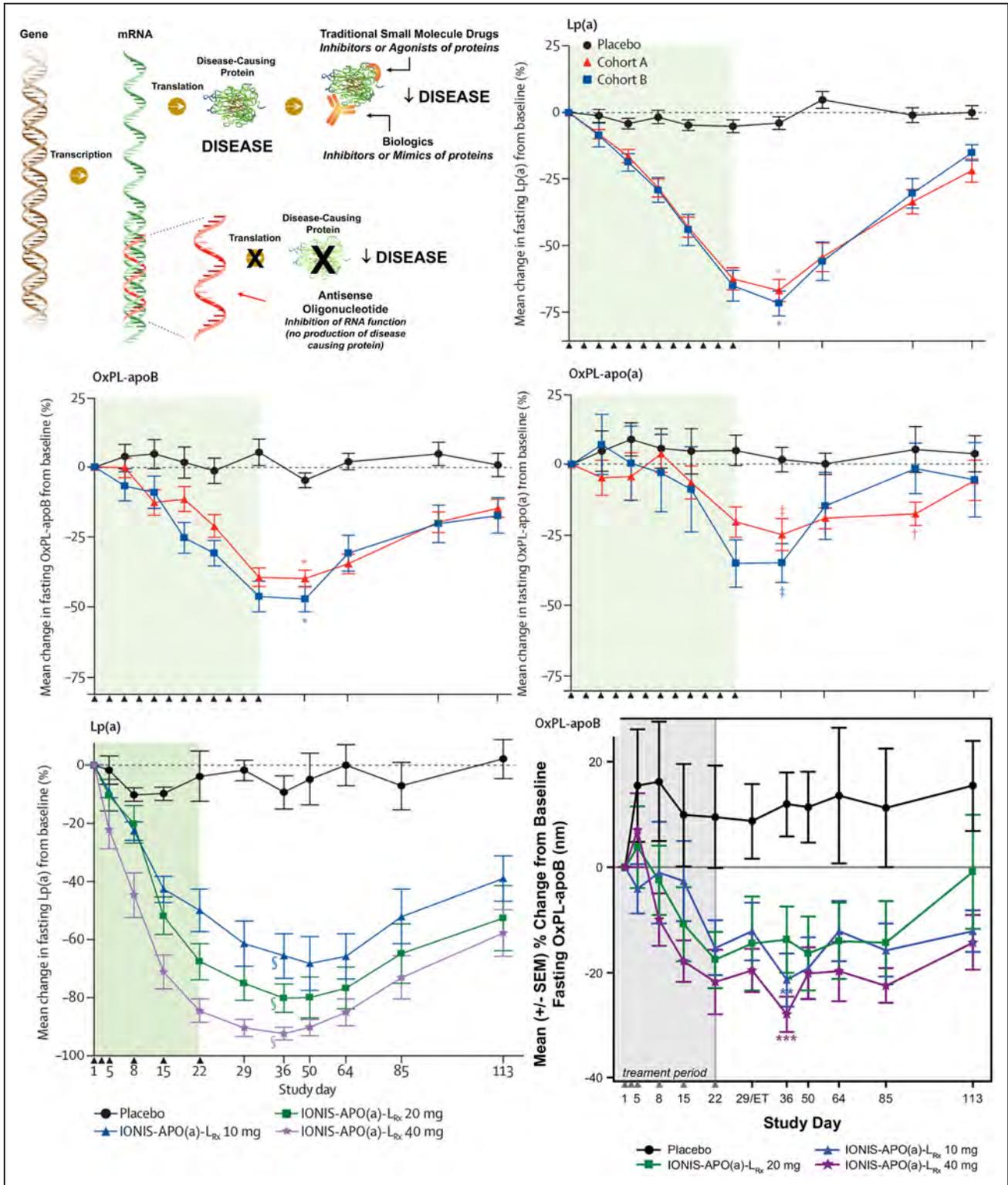


Figure 5. Mechanism of antisense oligonucleotides in reducing protein synthesis and effect on lipoprotein(a) [Lp(a)] and oxidized phospholipid (OxPL)-apoB (apolipoprotein B), and OxPL-apo(a) in clinical trials. **A**, Small molecules, antibodies, and antisense oligonucleotides as therapeutic entities in clinical medicine. **B**, Reduction in Lp(a) with IONIS-APO(a)_{Rx}. **C**, Reduction in OxPL-apoB with IONIS-APO(a)_{Rx}. **D**, Reduction in OxPL-apo(a) with IONIS-APO(a)_{Rx}. **E**, Reduction in Lp(a) with IONIS-APO(a)-L_{Rx}. **F**, Reduction in OxPL-apoB with IONIS-APO(a)-L_{Rx}.

Ongoing Trials of Medical Therapies for Native AS

Only 4 trials are listed in clinicaltrials.gov evaluating medical therapies for AS with valvular end points (Table 2). These

include SALTIRE II (Scottish Aortic Stenosis and Lipid Lowering Trial, Impact on Regression) which is testing the calcification hypothesis with denosumab, alendronic acid, or placebo in mild to moderate AS, EAVaLL describing the use

Table 2. Ongoing Trials of Medical Therapy for Treatment of Native AS With Valve End Points

Study	NCT No.	Intervention	Phase/No. of Patients	Main Inclusion Criteria	Main Primary End Point	Main Secondary End Point
SALTIRE II	NCT02132026*	Denosumab, alendronic acid, or placebo	II/150	Age >50 y, peak aortic jet velocity of >2.5 m/s, grade 2–4 calcification of the aortic valve on echocardiography	Change in aortic valve calcium score at 6 mo and 2 y	Change in aortic valve ¹⁸ F-NaF uptake at 6 mo
EAVaLL	NCT02109614*	Niacin 1500–2000 vs placebo	II/238	Aortic sclerosis or mild aortic stenosis (AVA >1.5 cm ² , MG <25 mmHg) and high Lp(a), Lp(a) >50 mg/dL	Calcium score progression by cardiac CT over 2 y	Change in peak velocity (in m/s); change in mean gradient (in mmHg); change in aortic valve area (in cm ²)
PCSK9 inhibitors in the progression of AS	NCT03051360*	PCSK9i vs placebo	II/140	Mild to moderate AS, LDL-C >70 mg/dL	Progression of the calcium score measured by cardiac CT and by ¹⁸ F NaF PET over 2 y	Efficacy of inhibition in calcium score progression by the presence of Lp(a) SNPs
Bicuspid aortic valve stenosis and the effect of vitamin K2 on calcium metabolism on ¹⁸ F-NaF PET/MRI (BASIK2): a Pilot Study	NCT02917525*	Vitamin K2 vs placebo	II/44	Bicuspid aortic valve with mild to moderate aortic stenosis	Change in calcium metabolism, measured as uptake of the ¹⁸ F-NaF tracer on an ¹⁸ F-NaF PET/CMR scan	Change in aortic valve calcium score, measured on CT

SALTIRE: SALTIRE II and RANKL (resorptive activity through the nuclear factor- κ B ligand) Inhibition in Aortic Stenosis. EAVaLL (Early Aortic Valve Lipoprotein(a) Lowering Trial): A Pilot, Randomized Controlled-Trial of Lipoprotein(a) Lowering for the Prevention of Aortic Valve Disease-Translating Genomic Knowledge for Cardiovascular Prevention. AS indicates aortic stenosis; AVA, aortic valve area; CT, computed tomography; LDL-C, low-density lipoprotein cholesterol; Lp(a), lipoprotein(a); MG, mean gradient; MRI, magnetic resonance imaging; PCSK9, proprotein convertase subtilisin type 9; and SNP, single-nucleotide polymorphism.

*URL: <http://www.clinicaltrials.gov>.

of niacin versus placebo that is recruiting very slowly and is unlikely to be completed, and a study of PCSK9 inhibitors that has not yet begun recruitment. These trials are primarily assessing changes in aortic valve calcium or ¹⁸F-NaF PET (sodium fluoride-18 positron emission tomography) imaging.⁶⁶

Potential Trial Design of a Medical Therapy for AS Targeting Lp(a)

The prevalence of AS, excluding aortic sclerosis, in the general community is estimated to be 2.5% and ranges from 0.3% in subjects 18 to 44 years of age to 11.7% in subjects \geq 75 years old, using data from several well-characterized

cohorts of >28000 subjects undergoing echocardiography.⁶⁷ Incident AS, which excludes subjects with prior diagnosis of AS is lower numerically than prevalent AS. In the large Copenhagen studies, incident AS was present in 1.3%, 1.6%, and 2.1% of patients with Lp(a) >40, >80, and >120 mg/dL, respectively, followed for up to 20 years.¹⁹ In patients with AS where data are relatively limited, elevated Lp(a) (>50 mg/dL) is present in \approx 30% of subjects with AS.^{46,68,69} Therefore, only a minority of AS patients will be eligible for trials of Lp(a)-lowering therapies.

In this group, there are 2 potential approaches that could be used, prevention of development of the disease or reduction

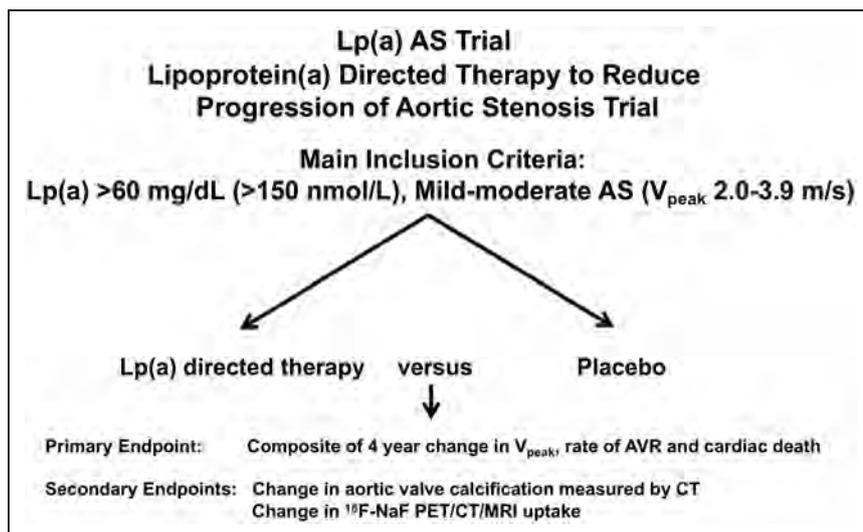


Figure 6. Potential design of a lipoprotein(a) [Lp(a)]-lowering trial in mild to moderate aortic stenosis (AS). AVR indicates aortic valve replacement; CT, computed tomography; and MRI, magnetic resonance imaging.

in the progression of preexisting disease. Although prevention of disease is ideal, reduction in the progression of preexisting disease is a more realistic and nearer term goal. One potential trial design, from several possibilities, includes a trial with an Lp(a)-lowering therapy versus placebo (Figure 6). As new data merge, the trial design may be altered, but an early attempt to conceptualize such a trial would include patients with mild to moderate AS, defined as maximal aortic jet valve velocity 2.0 to 3.9 m/s (V_{peak}),⁷⁰ where opportunity for affecting the disease process is more likely. On the basis of recent epidemiological data, an entry Lp(a) level >60 mg/dL, which will result in median levels 90 to 100 mg/dL in the trial based on epidemiological prevalence data from laboratory databases,⁷¹ appears to be most appropriate based on current knowledge of risk thresholds.^{19,46} However, this area is in need of additional epidemiological data in AS patients to fine-tune the association of Lp(a) levels and risk thresholds where that most benefit may accrue.⁶⁸

Unlike traditional cardiovascular outcomes trials that use cardiac death, myocardial infarction, and revascularization as the main end points, such composite end points are not entirely relevant to the pathophysiology of AS. In patients with mild to moderate AS, all of these end points would be expected to be rare, as suggested by prior statin trials in AS. Therefore, pathophysiologically relevant end points specific to AS are required. A composite end point of change in annualized V_{peak} , the rate of AVR, and cardiac death seem the most appropriate end points for such a trial. V_{peak} is a robust end point as it directly measures valve function and reflects reduced systolic opening of the aortic valve (ie, it is not a surrogate end point) and from which aortic valve orifice area is derived. V_{peak} has been used previously in statin trials as well as in current transcatheter AVR trials to assess short- and long-term valve function.⁷² On the basis of natural history studies of unoperated AS and additional clinical data, changes in V_{peak} correspond to mortality, symptoms, and functioning of patients with AS, suggesting that it would be acceptable from a regulatory standpoint for trial registration.^{1,70,73–75} Patients with mild to moderate AS would be anticipated to have an AVR rate of 20% to 30% over a median of 3 to 4 years, as shown in the statin AS trials. It is not anticipated that these rates would be different today as the natural history of AS has not been significantly impacted by current medical therapies. The emergence of calcium imaging of the aortic valve suggests that it may be viable secondary end point because it correlates with echocardiographically determined progression rates.⁶⁶ ¹⁸F-NaF PET imaging detects early changes in microcalcification that may also be a sensitive and complementary measure to echocardiography.⁷⁶ Preliminary power analysis using the above composite end point suggests that <1000 patients would need to be enrolled to adequately test the hypothesis over a median of 4-year follow-up.

In conclusion, recent developments in the understanding of AS suggest that a significant subset of patients have elevated Lp(a)/OxPL as a potential cause. The strength of the genetic data suggesting causally, the epidemiological studies and post hoc trial data, along with an emerging and potent therapy that can lower Lp(a) substantially in most patients, provides a rationale for testing the Lp(a)/OxPL-lowering hypothesis in patients with mild to moderate AS.

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