

Modelling idiopathic Parkinson disease as a complex illness can inform incidence rate in healthy adults: the P_REDIGT score

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Abstract

Fifty-five years after the concept of dopamine replacement therapy was introduced, Parkinson disease (PD) remains an incurable neurological disorder. To date, no disease-modifying therapeutic has been approved. The inability to predict PD incidence risk in healthy adults is seen as a limitation in drug development, because by the time of clinical diagnosis $\geq 60\%$ of dopamine neurons have been lost. We have designed an incidence prediction model founded on the concept that the pathogenesis of PD is similar to that of many disorders observed in ageing humans, i.e. a complex, multifactorial disease. Our model considers five factors to determine cumulative incidence rates for PD in healthy adults: (i) DNA variants that alter susceptibility (D), e.g. carrying a *LRRK2* or *GBA* risk allele; (ii) Exposure history to select environmental factors including xenobiotics (E); (iii) Gene–environment interactions that initiate pathological tissue responses (I), e.g. a rise in ROS levels, misprocessing of amyloidogenic proteins (foremost, α -synuclein) and dysregulated inflammation; (iv) sex (or gender; G); and importantly, (v) time (T) encompassing ageing-related changes, latency of illness and propagation of disease. We propose that cumulative incidence rates for PD (P_R) can be calculated in healthy adults, using the formula: P_R (%) = $(E + D + I) \times G \times T$. Here, we demonstrate six case scenarios leading to young-onset parkinsonism ($n = 3$) and late-onset PD ($n = 3$). Further development and validation of this prediction model and its scoring system promise to improve subject recruitment in future intervention trials. Such efforts will be aimed at disease prevention through targeted selection of healthy individuals with a higher prediction score for developing PD in the future and at disease modification in subjects that already manifest prodromal signs.

Introduction

Many neurodegenerative disorders that affect ageing adults, such as Parkinson disease (PD) and Alzheimer disease, remain unpredictable, non-preventable and incurable. The burden of such brain diseases in our ageing societies is steadily increasing. The ability to estimate their future incidence rates in healthy adults, as is commonly done for other conditions, would help to increase the likelihood of therapeutic success for interventions by enabling subject stratification and to focus on individuals at higher risk for disease. One limitation in our ability to quantify individual PD incidence risk lies in its incompletely understood ('idiopathic') pathogenesis. By considering known risk categories as essential contributors to the

cumulative incidence rate and by assigning relative values to each chosen factor, we have created a novel tool, i.e. the 'P_REDIGT score'.

PD as an environmentally induced disease

For decades, typical, late-onset PD was considered to be caused by one or more environmental contributor(s), foremost neurotoxic chemicals, such as pesticides, with relative specificity for dopamine cells in the Substantia nigra *pars compacta* (*S. nigra*), but with no significant contribution from heritable factors (Tanner *et al.*, 1999). For over 20 years, epidemiologists have identified increasing numbers of potential environmental candidates reported to be in positive or negative association with PD incidence [reviewed in: (Elbaz *et al.*, 2016; Polito *et al.*, 2016)].

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Despite the abundance of reported putative environmental modifiers of PD risk, an umbrella review of 75 meta-analyses, carried out with the goal of determining the actual effect size of candidate risk modulators (Bellou *et al.*, 2016), identified only four risk factors that met class I (convincing)-type or class II (highly suggestive)-level evidence in controlled cohort studies. Both chronic constipation and late-onset anxiety/depression were associated with elevated risk, whereas smoking and physical activity lowered the risk for PD incidence [reviewed by: (Bellou *et al.*, 2016)]. Constipation and depression have frequently been interpreted by clinicians as prodromal signs of idiopathic PD (Abbott *et al.*, 2001, 2003; Berg *et al.*, 2015); however, their potential roles in a latency stage (i.e. in a non-clinical phase that could evolve into PD) vs. in the clinically detectable, prodromal stage (i.e. prior to the onset of motoric signs; Fig. 1) have yet to be precisely determined.

Bellou *et al.* argued that a substantial number of previous studies (and meta-analyses thereof) contained residual confounders, possibly reflecting reverse causation and/or biases in observed associations (Bellou *et al.*, 2016). Therefore, it seems that in the majority of subjects with typical PD, the ‘missing environmental contributors’ in subjects’ exposure history, collectively referred to as ‘exposome’, have remained unaccounted for (Ritz & Rhodes, 2010; Palacios *et al.*, 2012; Grondin *et al.*, 2016).

There is growing interest in the potential role of the gastrointestinal (GI) tract in disease initiation, in particular in the context of environmental risk factors. This interest largely stems from an autopsy-based staging system first published by Braak *et al.* These authors have postulated that the initiation of pathology leading to late-onset PD starts well over a decade before the occurrence of any motoric deficits, namely in the enteric nervous system and, in parallel, in the olfactory bulb (Braak & Del Tredici, 2008). The missing environmental contributors to PD could thus reside, or have once resided, within the environment-derived constituents of the GI tract (i.e. from the oropharynx to the rectum) and/or the upper respiratory tract (URT; i.e. beginning in the nasal cavity with its olfactory epithelium) (Duda *et al.*, 1999; Doty, 2008; Del Tredici *et al.*, 2010; Savica *et al.*, 2010; Derkinderen *et al.*, 2014; Gray *et al.*, 2014, 2015; Svensson *et al.*, 2015).

Interestingly, although the independently protective effect of smoking (and less strongly, of coffee consumption) for the incidence risk of PD (Gorell *et al.*, 2004; Driver *et al.*, 2009; Palacios *et al.*, 2012) is thought by many researchers to be mediated pharmacologically in the central nervous system by nicotine (and caffeine), Scheperjans *et al.*, among other investigators, pointed out the multitude of effects by which both compounds can affect GI function including in changing constituents of the gut microbiome (Scheperjans *et al.*, 2015). There is increasing interest in the microbiome itself in PD research with the interrogation of patients’ specimens collected from the GI tract and URT to identify surrogates of an individual’s exposure history and candidates for disease-related markers (Mollenhauer *et al.*, 2013a; Antunes *et al.*, 2016; Felice *et al.*, 2016; Scheperjans, 2016).

In parallel, in exposure science research the standardized collection, cataloguing and integrated analysis of exposome elements (e.g. nutrients, toxicants and xenobiotics) for each individual have become more comprehensive with the aim to better delineate the pathogenesis of late-onset disorders in humans (Stanberry *et al.*, 2013; Dacks *et al.*, 2014; Patel, 2016; Rappaport, 2016; Siroux *et al.*, 2016). Such investigations encompass large-scale analyses with exposome measurements in defined populations such as through ‘Environment-Wide Association Studies’ (Patel & Ioannidis, 2014; Grondin *et al.*, 2016).

PD as a (complex) genetic disease

Since 1997, the pendulum investigating the principal cause of typical PD has swung towards genetic factors owing to the publication by Nussbaum *et al.* of the first *bona fide*, heritable form of parkinsonism in the ‘Contursi kindred’ (Polymeropoulos *et al.*, 1997). There, the phenotype of young-onset PD was found to segregate in affected members with a heterozygous mutation in the α -synuclein-encoding gene at the PARK-SNCA locus (Polymeropoulos *et al.*, 1997) with a penetrance rate of > 80% (Marras *et al.*, 2016). The initial study of this trans-national and trans-continental pedigree (Duvoisin & Golbe, 1995) as well as the subsequent identification of a heterozygous p.A53T substitution in α -synuclein as its cause (Polymeropoulos *et al.*, 1997; Papadimitriou *et al.*, 2016) heralded the beginning of the ‘genetic revolution in PD’ (Klein & Schlossmacher, 2007); it also made possible a more sensitive neuropathological diagnosis of typical, sporadic PD (Spillantini *et al.*, 1997) and the identification of several other, misfolded α -synuclein-related disorders (referred to as ‘synucleinopathies’) (Galvin *et al.*, 2001; Irwin *et al.*, 2013) [reviewed in: (Farrer, 2006)].

Together with the advent of high throughput sequencing platforms, the discovery by Nussbaum *et al.* (Polymeropoulos *et al.*, 1997) also accelerated the pursuit of linkage and genome-wide association studies to identify additional DNA variants that are associated with an elevated or a reduced incidence rate of PD (e.g. Soto-Ortolaza *et al.*, 2013; Nalls *et al.*, 2014). Today, genetic markers have been identified at > 26 independent loci in apparent association with altered risk to develop typical PD (Edwards *et al.*, 2010; International Parkinson Disease Genomics Consortium *et al.*, 2011; Nalls *et al.*, 2016). Increasingly large genetic association studies have enabled researchers to estimate that the population attributable fraction in ‘complex genetic disease’ models of PD lies between 11 and 60% (International Parkinson Disease Genomics Consortium *et al.*, 2011; Nalls *et al.*, 2015), which suggests that a sizeable portion of ‘the hidden heritability’ has not yet been accounted for in aetiological models where causality is restricted to genetics (Singleton & Hardy, 2016). Nevertheless, Nalls *et al.*, in attempting to better classify PD patients, developed an ‘integrated model of PD’ that combines a cumulative genetic risk score with four variables (i.e. age; sex; sense of smell; and a self-reported family history of PD). The latter model effectively classified persons as ‘typical PD’ (Nalls *et al.*, 2015); it was validated using five cohorts demonstrating high sensitivity and specificity for the separation of PD (including those with proven loss of dopamine innervation in the striatum) vs. controls, where it achieved a classification accuracy with an area-under-the-curve value of greater than 0.89. Although valuable as a classification tool, their integrative model – by nature of its design – did not yet assess the individual risk of neurologically healthy persons regarding their PD incidence rate later in life. We posit that for this reason, and based on a model that sees ‘idiopathic PD’ as a complex, multifactorial disease rather than a ‘complex genetics-only’ disease, the integration of environmental exposure – together with genetic risk elements – is essential.

PD as a complex, multifactorial disease: gene–environment interactions

With the rapid progress in genome interrogation platforms and growing list of possible environmental clues for the development of complex, late-onset disorders (Darabos *et al.*, 2016), several groups have recently begun to conduct putative gene–candidate environment interaction studies. Given the very large number of patients

required to carry out non-candidate-driven association studies to probe for exposure history in the context of entire genomes to determine true effect size (Grondin *et al.*, 2016; Rappaport, 2016), alternative study designs are being employed, for example through Bayesian classifier models (Li *et al.*, 2012; Elbaz *et al.*, 2016; Patel, 2016).

Our concept of PD as a multifactorial disease that results from interactions between an individual's genetic susceptibility and his/her exposome has been informed by several insights from other late-onset disorders (e.g. Rappaport, 2016); it has been reinforced by our own studies regarding the *in vivo* effects of the Leucine-rich repeat kinase-2-encoding gene at the PARK-LRRK2 locus. LRRK2 variants have been associated with late-onset, familial PD (Paisan-Ruiz *et al.*, 2004; Zimprich *et al.*, 2004), late-onset sporadic PD (Lesage *et al.*, 2006; Ozelius *et al.*, 2006), and two other complex diseases in humans, i.e. Crohn's disease (Barrett *et al.*, 2008) and an inflammation-rich endophenotype of leprosy (Fava *et al.*, 2016). In our consortium based work, we found that wild-type LRRK2 plays an important role in the innate immune system co-regulating the response to virulent, microbial pathogens, and that in this context mutants alter inflammation, thereby affecting neural health following infections in mice and humans (Hakimi *et al.*, 2011; Fava *et al.*, 2016) (B. Shutinoski, J. J. Tomlinson, E. G Brown, M. G. Schlossmacher, unpublished data). From these studies, we concluded that this example of a gene–environment–interaction paradigm (i.e. LRRK2-xenobiotic-inflammation) might have direct relevance to idiopathic PD. As a result, we postulated that exposome elements and a subject's tissue responses are essential in contributing to the cumulative incidence rate of PD, and that therefore, both should be included in prediction models.

Classifying stages of PD and predicting prodromal illness

In accordance with the definition of the stages of PD by a task force assembled by the International Parkinson and Movement Disorder Society, we too consider it to evolve in three stages (Fig. 1): during the pre-clinical stage pathophysiological processes have commenced, but there are no evident symptoms or signs; the prodromal stage reflects a phase when symptoms and signs are present, but are yet insufficient to define parkinsonism; and clinical PD, which equates the diagnosis of parkinsonism, as further supported by *bona fide* progression, and responsiveness to dopaminergic therapy (Postuma *et al.*, 2015) (Fig.1).

Recently, Berg *et al.* provided a 'naïve, Bayesian classifier model-based prediction formula to identify prodromal PD' (Berg *et al.*, 2015). Prodromal PD is estimated to span a period of > 10 years in human subjects preceding the expression of the classical parkinsonian phenotype (Braak & Del Tredici, 2008; Hawkes *et al.*, 2010; Berg *et al.*, 2015). In their approach, an individual patient's non-motoric symptoms and signs were considered to be indicative of neurological dysfunction, and sequentially combined with select items (i.e. epidemiological risk factors; age; sex; and genetic risk markers) to generate probability values (in %) and likelihood ratios (< 1, 1, > 1) for the diagnosis of 'prodromal PD' (Berg *et al.*, 2015). This model's strengths include: (i) The description of a first tool attempting to quantify the probability of a prodromal PD diagnosis; (ii) Its multivariate, step-by-step assembly of factors previously associated with the incidence of PD; and (iii) Its testability in longitudinal studies. This tool (upon its validation) will likely enable better screening and stratification of those subjects in clinical trials where the disease process has already entered the prodromal stage.

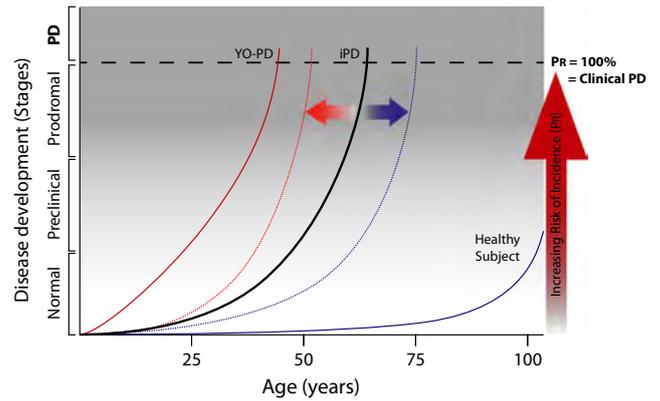


FIG. 1. P_REDIGT formula calculates incidence rates for Parkinson disease in healthy adults as a function of five variables. The P_REDIGT score quantifies Parkinson disease (PD) incidence rates as a function of five variables. Disease projection curves over time are plotted for a representative case of typical, idiopathic PD (iPD; solid black line), young-onset PD (YO-PD; solid red line) and for a healthy subject (solid blue line). Two horizontal arrows represent the effects of risk factors that either elevate (orange) or reduce (blue) cumulative PD incidence risk, and therefore, are hypothesized to shift the curve in either direction (dotted lines). Additive risk categories include 'exposome' (*E*), 'genetics' (*DNA*; *D*), and 'gene–environment interactions that initiate host responses' (*I*), of which the sum is multiplied by risk factors 'sex/gender' (*G*) and 'time' (*T*). Each variable is defined in detail in the text and assigned a value from a score sheet (see Table 1). This is to calculate the total P_REDIGT risk score for PD incidence rate at a given time (*P_R* score, %; vertical arrow in red). A P_REDIGT score of 100% represents the onset of clinically defined parkinsonism/PD (Postuma *et al.*, 2015) corresponding to a ≥ 60% loss in dopamine (DA) innervation of the striatum; the prodromal stage correlates with ~ 41–59% DA neuron loss; and the preclinical phase corresponds to < 40% of DA neuron loss (Chahine *et al.*, 2016). The curve of a healthy subject is extrapolated from published calculations (Berg *et al.*, 2015) that report the rise in 'age-adjusted, prior probability of PD' to 4% at the age of 80 years. The shape of the curve after age 80 is speculative but informed by the known, age-dependent loss of DA cells in older individuals (Fearnley & Lees, 1991; Gibb & Lees, 1991; Ma *et al.*, 1999).

Calculating cumulative incidence risk for PD in healthy adults?

What the field lacks is a tool to quantify the incidence risk for PD in neurologically healthy subjects, and moreover, to predict in each individual the actual time to disease onset. For this, we need to consider the complement of risk factors that functionally interact to initiate a disease process (Rappaport, 2016), i.e. a comprehensive systems-based focus that Li *et al.* referred to as 'Gene-Environment Wide-Interaction Studies' (Li *et al.*, 2012; Go & Jones, 2014; Grondin *et al.*, 2016; Patel, 2016).

In building the P_REDIGT score as a platform to calculate future incidence rates of PD, we considered the following properties to be important: (i) To view the development of typical PD and its incidence rate in a hypothesis-driven manner (rather than a Bayesian classifier approach), i.e. within the framework of a complex disease that has more than one essential contributor; (ii) The ability to prospectively calculate the probability for incident PD in healthy adult subjects; and (iii) To employ an easy-to-use formula, as inspired by simple prediction tools developed in other disciplines of medicine, for example: the 'Wells criteria' that predict the probability of pulmonary emboli; blood value-based criteria to help modify the risk of vascular disease and complications; and the 'CHADS₂ score' to predict the annual likelihood of stroke from atrial fibrillation (Hendriksen *et al.*, 2015; Preiss & Kristensen, 2015; Hsu *et al.*, 2016; Wang *et al.*, 2016).

Our hypothesis that a subject's risk for PD incidence can be calculated rests on three components: (i) Typical PD is not 'idiopathic',

but should be seen as the outcome of five critical factors; (ii) Genetic susceptibility, exposome, pathological tissue responses, sex, and time interact to promote the progressive degeneration of dopamine neurons in the human *S. nigra* (Fig. 1); and finally, (iii) An estimate for the cumulative incidence rate of idiopathic PD can be made in healthy subjects by assigning concrete values to each of the five factors, using an easy-to-use formula.

Materials and methods

Parkinson disease incidence rate expressed as P_R (in %)

In our predictive model, the cumulative incidence rate for PD (P_R) is calculated by five variables $[(E + D + I) \times G \times T]$ and expressed in % values. ‘E’ stands for exposome, ‘D’ for DNA, ‘I’ for the initiation of tissue responses following gene–environment interactions, ‘G’ for sex/gender, and ‘T’ for time. A P_R score of 100% (or above) refers to the presence of clinically recognizable parkinsonism, i.e. the diagnosis of the phenotype under consideration (Postuma *et al.*, 2015), which is thought to correlate with the loss of $\geq 60\%$ of striatal innervation (Fig. 1). For model building, we equated a P_R score of 0% to the normal complement of dopamine producing cells in the *S. nigra*, which innervate target cells in the neostriatum, present at birth; of note, we have not yet correlated any P_R scores (between 1 and 99%) (Fig. 2) with the actual fraction of dopaminergic cell loss in human autopsy studies.

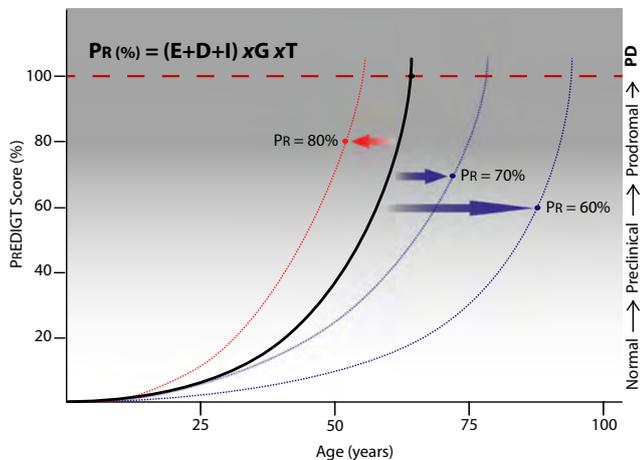


FIG. 2. The PREDIGT score quantifies the probability for the risk (PR) of Parkinson disease (PD) as a function of five factors: E (Exposome); D (Genetics); I (Initiation); G (Sex/Gender); and T (Time). The disease projection curve for a case of idiopathic PD is shown (solid line), where a PR of 100% is reached at 66 years of age. The curve shifts based on values for the five factors, thus changing the incidence risk at a given age, for example, to a PR = 80% at 52 years, 70% at 72 years and 60% at 88 years.

Variable ‘E’: exposome

In our model, variable ‘E’ represents the exposure history by an individual to environmental pathogens from the prenatal period onward. These include, but not limited to, nutrients, chemicals, xenobiotics as well as recreational and occupational hazards (Wild, 2009). ‘E’ captures the subject’s exposure history to one or more environmental modifier (exposed once, recurrently, or chronically) that has been convincingly associated with elevation (i.e. a risk

factor) or reduction (a protective factor) in the incidence rate for typical PD. To date, few factors, apart from constipation, anxiety, depression, smoking and physical activity (Bellou *et al.*, 2016), have been unequivocally linked to the modification of incidence rate for idiopathic PD. Candidate factors that have not met class I or II type evidence in meta-analyses, but that have been identified in strong association with the development of parkinsonism in smaller cohort studies, include recurrent (sub)concussive head traumas, cumulative exposure to neurotoxic agents (e.g. pesticides, manganese), reduced levels of serum uric acid (related in part to diet), and a history of select microbial illnesses (see below).

For building our model and calculating P_R scores, a value for variable ‘E’ is entered. In lieu of the often unavailable details to capture an individual’s entire exposure history, we also allowed surrogates to stand in for where an environmental pathogen may play (or could have played) a role, for example: 0.0–0.005, for the paucity of any identifiable, significant environmental exposure history that would modulate PD risk (before age 50 years; Table 1); 0.25–1 reflecting the duration of constipation in the subject’s past as an independent risk factor or as surrogate for a previous illness of the GI tract; 0.25–1, one or multiple (sub)concussive head traumas; 0.5–1, a reduction in the sense of smell as an independent risk factor or as surrogate for previous URT infections that led to hyposmia and anosmia, respectively; and on the protective side, for example, values from -0.25 to -1 to account for a subject’s smoking history. A list of select examples for environmental risk modifiers and their assigned values under factor ‘E’ (for model building purposes; maximum value, 3) is shown in Table 1.

Variable ‘D’: an individual’s genetic susceptibility (DNA)

Parkinsonism is in part characterized as the shared clinical appearance of genetic heterogeneity (‘phenocopies’). Variants at individual PD-associated loci can lead to pleiotropic outcomes of parkinsonism itself, as is the case, for example, with mutant *LRRK2* alleles (Zimprich *et al.*, 2004; Kalia *et al.*, 2015); perplexingly, genotypic variants at one specific locus can also lead to other disease phenotypes, such as Gaucher disease and dementia with Lewy bodies in the case of *GBA* mutations (Alcalay *et al.*, 2014). Here, the variable ‘D’ (for DNA) represents the overall contribution of a person’s genetic risk. When choosing the related values for model building purposes, we included select genomic variants that confer distinct susceptibility to the incidence rate of PD, for example, based on their known penetrance rate and/or the age-of-disease onset. Although from an evolutionary perspective, these genetic variants were likely not selected for, or against, the development of a brain disease that occurs later in adulthood, rare allelic variants at individual loci can confer a large effect size in generating young-onset parkinsonism. In contrast, commonly found allelic variants at one locus (or multiple loci) may confer relatively small contributions, either individually or collectively, in generating the more common, late-onset PD phenotype (Kitada *et al.*, 1998, 2012; Nalls *et al.*, 2015; Marras *et al.*, 2016).

Under factor *D*, the value of 0.01 represents the relative paucity of genetic susceptibility to develop PD; 0.125 and 0.25, for example, the presence of one or more allelic variant, respectively, with a relatively low contribution to the overall development of PD (such as of a non-coding, small nucleotide polymorphism [SNP] at the *SNCA* or *MAPT* locus); or, values from 0.125–0.5 to incorporate a confirmed diagnosis of PD in relatives, as a surrogate for not-yet-identified genetic risk (Sveinbjornsdottir *et al.*, 2000; Elbaz *et al.*, 2003). [Of note, we are cognizant of a possible family information bias (i.e. the over- or under-reporting of parkinsonism in relatives of

TABLE 1. Select Values for five factors entered into the P_REDIGT score formula

Factor E: Exposome	Nature of risk modifier (if known)	Assigned value	Select ref(s) used to create value
Association with elevated risk			
Neurotoxin	i.v. MPTP exposure (each event)	1	Langston <i>et al.</i> , <i>Science</i> 1983
	i.v. Mn ²⁺ exposure (each event)	0.5	Stevens <i>et al.</i> , <i>NEJM</i> 2008
Head trauma	Pesticide exposure (cumulative)	0.25	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
	Farm life before age 20 years	0.25	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
Xenobiotic exposure	Concussive events (cumulative)	1	Mez <i>et al.</i> , <i>Alz Res Therap</i> 2015
	(Sub)concussive events (cumulative)	0.5	Mez <i>et al.</i> , <i>Alz Res Therap</i> 2015
Chronic constipation	Encephalitis (select pathogens)	2	Jang <i>et al.</i> , <i>Biochim Biophys Acta</i> 2008
	Chronic infection (e.g. <i>H. pylori</i>)	1	Bu <i>et al.</i> , <i>Park Rel Dis</i> 2015
Reduced olfaction	Lasting for ≥ 20 years	1	Ross <i>et al.</i> , <i>Park Rel Dis</i> 2012
	Lasting for 10–19 years	0.5	Ross <i>et al.</i> , <i>Park Rel Dis</i> 2012
	Lasting for 5–9 years	0.25	Ross <i>et al.</i> , <i>Park Rel Dis</i> 2012
No known association with risk modulator	Anosmia (UPSIT score ≤ 28/40)	1	Muirhead <i>et al.</i> , <i>The Otolaryngol</i> 2013
	Hyposmia (UPSIT score 29–33/40)	0.5	Muirhead <i>et al.</i> , <i>The Otolaryngol</i> 2013
Association with lower risk	Little cumulative pathogen exposure		
	Age of proband		
	≤ 50 years	0	
	51–59 years	0.005	
	60–69 years	0.0075	
Smoking history	70–79 years	0.02	
	≥ 80 years	0.03	
	Current smoker for ≥ 20 years	–0.75	Ritz <i>et al.</i> , <i>Arch Neurol</i> 2007
	Current smoker for 11–19 years	–0.5	Ritz <i>et al.</i> , <i>Arch Neurol</i> 2007
	Past smoker for ≥ 20 years	–0.25	Ritz <i>et al.</i> , <i>Arch Neurol</i> 2007
Caffeine intake	Past smoker for 11–19 years	–0.125	Ritz <i>et al.</i> , <i>Arch Neurol</i> 2007
	Any smoking history ≤ 10 years	–0.0625	Ritz <i>et al.</i> , <i>Arch Neurol</i> 2007
Physical exercise	≥ 2 cups/day (recent)	–0.25	Palacios <i>et al.</i> , <i>Mov Dis</i> 2012
	≥ 1 cup/day (recent)	–0.125	Palacios <i>et al.</i> , <i>Mov Dis</i> 2012
Regular for ≥ 20 years	Regular for ≥ 20 years	–0.25	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
	Irregular for ≥ 20 years	–0.125	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
	Regular for ≤ 19 years	–0.125	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
Factor D: DNA (Genetics)			
Gene (locus)/Family history	Type of genetic variant	Assigned value	Select ref(s) used to create value
Association with elevated risk			
<i>SNCA</i>	Gene triplication (<i>n</i> = 4 alleles)	1	Trinh <i>et al.</i> , <i>JAMA Neurol</i> 2014
	Gene duplication (<i>n</i> = 3 alleles)	0.75	Trinh <i>et al.</i> , <i>JAMA Neurol</i> 2014
	Mutation (e.g. p.A53T; p.A30P)	0.75	Trinh <i>et al.</i> , <i>JAMA Neurol</i> 2014
	Rep1 repeat expansion (5')	0.5	Markopoulou <i>et al.</i> , <i>Parkins Rel Dis</i> 2014
	Other risk variants as per GWAS	0.25	Nalls <i>et al.</i> , <i>Lancet Neurol</i> 2014
	Point mutation (het)	1	Kitada <i>et al.</i> , <i>Nature</i> 1998
<i>PARKIN</i> or <i>DJ-1</i> or <i>PINK1</i>	Copy number variant (het)	1	Pankratz <i>et al.</i> , <i>PLOS One</i> 2011
	Exon deletion (het)	1	Kitada <i>et al.</i> , <i>Nature</i> 1998
<i>GBA</i>	Point mutation (het; homo)	0.5	Alcalay <i>et al.</i> , <i>JAMA Neurol</i> 2014
	Point mutation (het; homo)	0.5	Trinh <i>et al.</i> , <i>JAMA Neurol</i> 2014
Other risk loci identified by GWAS	Single-nucleotide polym. (SNPs)	0.1–0.25	Nalls <i>et al.</i> , <i>Lancet Neurol</i> 2015
Family history of disease			
No known family history	Overall low genetic risk	0.01	Elbaz <i>et al.</i> , <i>Neurology</i> 2003
Positive family history	1st degree relative with bona fide PD	0.5	Sveinbjornsdottir <i>et al.</i> , <i>NEJM</i> 2000
Positive family history	2nd degree relative with bona fide PD	0.25	Sveinbjornsdottir <i>et al.</i> , <i>NEJM</i> 2000
Positive family history	3rd degree relative with bona fide PD	0.125	Sveinbjornsdottir <i>et al.</i> , <i>NEJM</i> 2000
Association with lower risk			
<i>LRRK2</i>	<i>Bona fide</i> protective SNPs	–0.5	Ross <i>et al.</i> , <i>Lancet Neurol</i> 2011
Factor I: Initiation of tissue response			
Type of pathophysiological effect	Outcome(s) of effect in cells/tissue	Assigned value	Select ref(s) used to create value
Pathophysiological response			
α-synuclein dysregulation	Accumulation (<i>n</i> = 4 <i>SNCA</i> alleles)	1	Kuo <i>et al.</i> , <i>Hum Mol Gen</i> 2010
	Accumulation (e.g. p.A53T; p.A30P)	0.5	Kuo <i>et al.</i> , <i>Hum Mol Gen</i> 2010
	Accumulation (<i>n</i> = 3 <i>SNCA</i> alleles)	0.5	Kuo <i>et al.</i> , <i>Hum Mol Gen</i> 2010
	Accumulation (Rep1 repeat expansion)	0.25	Cronin <i>et al.</i> , <i>Hum Mol Gen</i> 2009
	Accumulation (<i>GBA1</i> mutation)	0.25	Cullen <i>et al.</i> , <i>Ann Neurol</i> 2011

(continued)

TABLE 1 (continued)

Factor I: Initiation of tissue response Type of pathophysiological effect	Outcome(s) of effect in cells/tissue	Assigned value	Select ref(s) used to create value
Tau dysregulation	Accumulation (select <i>LRRK2</i> mutant)	0.25	Zimprich <i>et al.</i> , <i>Neuron</i> 2004
	Accumulation (<i>MAPT</i> mutation)	1	Kumar <i>et al.</i> , <i>J Biol Chem</i> 2014
	Accumulation (encephalitis)	0.5	Jang <i>et al.</i> , <i>Biochim Biophys</i> 2008
	Accumulation (select <i>LRRK2</i> mutation)	0.25	Zimprich <i>et al.</i> , <i>Neuron</i> 2004
	Accumulation (concussive traumas)	0.5	Mez <i>et al.</i> , <i>Alz Res Therap</i> 2015
Parkin deficiency	Accumulation (subconcuss. traumas)	0.25	Mez <i>et al.</i> , <i>Alz Res Therap</i> 2015
	Redox change; mitoch. dysfunction	1	Palacino <i>et al.</i> , <i>J Biol Chem</i> 2004
DJ-1 deficiency	Redox change; mitoch. dysfunction	1	Rousseaux <i>et al.</i> , <i>PNAS</i> 2012
Pink1 deficiency	Redox change; mitoch. dysfunction	1	Glasl <i>et al.</i> , <i>Exp Neurol</i> 2012
Neurotoxicant (e.g. MPTP)	Mitochondria degeneration; ROS rise	1	Fornai <i>et al.</i> , <i>PNAS</i> 2005
Chronic inflammation	Cytokine/immune cell dysregulation	0.25	Dzamko <i>et al.</i> , <i>Mov Dis</i> 2016
Presence of anxiety/depression	Surrogate of disease process in CNS	0.25	Bellou <i>et al.</i> , <i>Parkins Rel Dis</i> 2016
Presence of REM sleep disorder	Surrogate of disease process in CNS	0.25	Postuma <i>et al.</i> , <i>Sleep Med</i> 2016
Paucity of pathophysiological response	Adjusting for age:		
	≤ 50 years	0	
	51–59 years	0.001	
	60–69 years	0.002	
	70–79 years	0.003	
	≥ 80 years	0.004	
<hr/>			
Factor G: Sex (Gender)	Sex	Assigned value	Select ref(s) used to create value
General population <i>LRRK2</i> wild-type	Male	1.2	Berg <i>et al.</i> , <i>Mov Dis</i> 2015
	Female	0.8	Berg <i>et al.</i> , <i>Mov Dis</i> 2015
Genotyped subjects <i>Bona fide LRRK2</i> mutation carrier	Male	0.8	Marder <i>et al.</i> , <i>Neurology</i> 2015
	Female	1.2	Marder <i>et al.</i> , <i>Neurology</i> 2015
<hr/>			
Factor T: Time	Years lived	Assigned value	Select ref(s) used to create value
Measurement of time			
Capturing ageing, latency, progression	Subject's actual age	1–100	Driver <i>et al.</i> , <i>Neurology</i> 2009

subjects being interviewed) (Elbaz *et al.*, 2003)]; 0.5, an established susceptibility allele of moderate effect size (e.g. a disease-associated mutation in *LRRK2*; duplication of wild-type *SNCA*); 1, the triplication of wild-type *SNCA*, or alternatively, the presence of a disease-causing mutation in an allele encoding a recessive gene linked to early-onset parkinsonism (e.g. at *PARK-Parkin*; *PARK-DJ-1*; or *PARK-PINK1*) (Marras *et al.*, 2016). A list of examples for genetic risk candidates used to build the model and their assigned values under factor 'D' (maximum value, 3) are shown in Table 1.

Variable 1': gene–environment interactions initiating a tissue response

In our model of disease development, interactions between genes expressed by the host and elements in his/her exposome are postulated to be transient, recurrent or chronic. There, each interaction between an individual gene and a concrete environmental factor is considered to have the potential for initiating long-lasting tissue effects. However, few individual interactions will be followed by sustained, pathological tissue responses due to modulation by a network of changes generated by the genome and exposome (Darabos *et al.*, 2016). For example, a dominantly inherited risk allele of high penetrance could be epigenetically silenced in a carrier (or a toxicant not effectively absorbed despite recurrent exposure) owing to a network of interactions; if so, the mutant allele (or the noxious chemical) would fail to promote relevant tissue changes in the host. Based

on our hypothesis, factor 'I' reflects the initiation of a sustained biological response *in vivo* stemming from gene–environment interactions that are essential in the development of PD. We postulated that quantifying it will inform incidence risk.

For a disease phenotype to be expressed *in vivo*, metabolic functions, signalling mechanisms, cellular integrity and/or extracellular matrices have to be compromised. While the reasons for the progressive nature of variants for young-onset and late-onset PD in humans are diverse and many remain unknown, several pathways have been delineated in human tissue and animal experimentation that help explain neural injury and cell death during disease initiation and/or its progression. The output of gene–environment interactions can initiate a spectrum of pathological responses, for example, a rise in ROS production, dysregulation of inflammation, and/or the start of amyloidosis (Hirsch *et al.*, 2012; Crunkhorn, 2016). For building our prediction model and to calculate P_R scores, factor 'I' was included in our formula.

Today, there is limited availability of easily accessible markers to signal in real-time the 'state, rate and fate' of tissue changes that occur in the development of PD (Schlossmacher & Mollenhauer, 2010; Mollenhauer *et al.*, 2013b; Kang *et al.*, 2016); hence, values for 'I' were assigned based on published insights regarding the *in vivo* effects of variables *E* and *D*. In addition, we used clinically detectable surrogate markers for inferred tissue responses. For example: 0.001, paucity of evidence for any initiation of a lasting host response; 0.25–1, elevated ROS production resulting from

mitochondrial dysfunction (i.e. as informed by results from parkin and pink1 deficiency models); 0.25–1, enhanced pro-amyloidogenic protein production (or its dysregulation), foremost of α -synuclein, leading to the onset of neural proteinopathy; 0.25–1, sustained dysregulation of inflammation; and 0.25–1, activation of cell death pathways. A list of examples for tissue responses and their assigned 'T' values for model building purposes is shown in Table 1.

Note, the development of new-onset REM sleep behaviour, anxiety or depression in heretofore healthy probands was interpreted as evidence of a disease process that has involved structures within the central nervous system. For modelling purposes the presence of such prodromal changes were also scored to reflect a more advanced, pathological tissue response; therefore, we added them to the score sheet under factor 'T' (maximum value, 3; Table 1).

Variable 'G': sex (gender)

Variable *G* is informed by incidence rates for PD in both sexes. Age-adjusted prevalence and incidence rates for typical PD were recently reviewed by Berg *et al.* on behalf of an International Parkinson and Movement Disorder Society-appointed task force. Table 1 contains modifications of their 'prior probability of PD estimates' according to sex, thereby reflecting the overall male preponderance in idiopathic PD cases in cohort studies (e.g. Locascio *et al.*, 2015) and a likely reversed sex bias in *LRRK2*-associated illnesses. The latter was recently identified in human PD cohort studies (Cilia *et al.*, 2014; Trinh *et al.*, 2014; Marder *et al.*, 2015). In our formula, the variable 'sex' is entered as the independent factor 'G'; it is expected to reflect the contribution of genetic elements (biological sex) and their interaction with environmental elements that may differ between the sexes (gender). For model building purposes, the value entered under 'G' is either 0.8 or 1.2; these numbers reflect prevalence ratios among the sexes in the general population (e.g. Driver *et al.*, 2009; Berg *et al.*, 2015; Locascio *et al.*, 2015), which – based on recent findings in the literature – were corrected for in the context of mutant *LRRK2* carrier status (Table 1).

Variable 'T': age(ing) of the subject and clinical latency

Ageing is considered to be the most important risk factor for the incidence of PD (e.g. Driver *et al.*, 2009). We view ageing predominantly as the 'passage of time' and expressed it as factor 'T' (standing for Time) in years. Although in our model, factor 'T' is defined by the subject's age (values 1–100), it accommodates three key elements in the development of PD: (i) Physiological, ageing-associated changes, such as in gene expression rates, immune function, mitochondrial integrity, and metabolic efficiency; (ii) A clinical latency phase, i.e. the period between critical gene–environment interactions that have initiated the disease process and the actual onset of prodromal (pre-motoric) changes; and (iii) The progression of the disease process in an individual that transitions from the prodromal stage to the clinically diagnosed phase of PD (Fig. 1).

Calculating P_R : a six-step process

The generation of a P_R EDIGT score to calculate the incidence rate for the development of PD in an individual is determined based on a six-step process. The first five steps correspond to entering values for each factor from a pre-populated list into a score sheet and the subject's age (*E*, *D*, *I*, *G*, *T*; Table 1); step six corresponds to the calculation of the actual score (in %) following the formula: $P_R = (E + D + I) \times G \times T$.

For developing and refining the model, we examined cohorts of genetic vs. sporadic cases of parkinsonism published in the literature (between January 1986 and July 2016) and from our own studies. Presented herein are six paradigmatic clinical scenarios for demonstration of the P_R EDIGT score model. These reflect three rare cases leading to young-onset parkinsonism and highlight three scenarios with elevated incidence risk for the development of late-onset, typical PD.

Patient cohorts

Patient characteristics that informed our case scenarios were gleaned from the published literature and available data bases for subjects previously enrolled in case–controlled biomarker studies, e.g. single centre cohorts, such as the Kassel Cohort (Mollenhauer *et al.*, 2011), Ottawa Biomarker Protocol (Bidinosti *et al.*, 2012), DeNoPa Cohort (Mollenhauer *et al.*, 2013a) and Harvard Biomarker Study (Ding *et al.*, 2013; Locascio *et al.*, 2015) as well as the multi-site PROBE and PPMI cohort (Locascio *et al.*, 2015; Kang *et al.*, 2016). Studies were approved by the respective institutional review boards at all participating hospitals and clinics.

Results

Prototypes of early-onset parkinsonism

Case 1: SNCA gene mutation-linked, young-onset parkinsonism

We first examined the scenario of a 39-year-old, male carrier of a wild-type *SNCA* triplication from the 'Iowa kindred' (Singleton *et al.*, 2003; Farrer *et al.*, 2004; Gwinn *et al.*, 2011; Trinh *et al.*, 2014).

Step 1 (factor E). In this heritable case of parkinsonism with high penetrance, we assumed that for carriers of such a rare genotype, which leads to dominantly inherited disease beginning in early adulthood [e.g. with age-of-disease onset (AOO) frequently before 40 years], the role of exposome may be rather low regarding its overall contribution to incidence risk (Kitada *et al.*, 2012). Under this particular circumstance and the proband's age, factor *E* was assigned the value 0.00 (Table 1).

Step 2 (factor D). We assigned the value of 1 for factor *D*, because the subject carries the rare but significant genetic predisposition to develop dominantly inherited, young-onset parkinsonism accompanied by dysautonomia and dementia (Singleton *et al.*, 2003; Gwinn *et al.*, 2011).

Step 3 (factor I). As shown in numerous vertebrate studies of transgenic over-expression of *SNCA* cDNA in neurons [reviewed by (Chesselet & Richter, 2011)], we anticipated that this man will develop insoluble α -synuclein aggregation-associated disease throughout his nervous system, widely viewed as of 2016 to lead to a progressive proteinopathy ['synucleinopathy' (Irwin *et al.*, 2013)]; therefore, the value of 1 was entered for factor *I*.

Step 4 (factor G). In the few published kindreds with inheritance of a *SNCA* triplication ($n = 4$ *SNCA* alleles) mutation, no noticeable sex contribution has been reported in affected family members for the incidence of parkinsonism; therefore, we entered the value of 1.2, which was informed by the 'prior probability of PD in the general population', under factor *G* for this male subject (Berg *et al.*, 2015; Locascio *et al.*, 2015) (see Table 1).

Step 5 (factor T). His current age, 39, was entered as the value for factor *T*.

Step 6 (score calculation). The P_R score $[(0.00(E) + 1(D) + 1(I)) \times (1.2)G \times 39(T)]$ was calculated to be 93.6% at his current age. Accounting for changes in age (*T*), we can thus predict the time of clinically diagnosed parkinsonism in this proband at age 41.7 years (as defined by $P_R = 100\%$; Fig. 1), which would be consistent with insights from a recently published meta-analysis for the AOO in pathogenic *SNCA* genotypes (Trinh *et al.*, 2014).

Comments. In this man, variant genotypes present at other loci could change the value for factor *D* in both directions; for example, a cumulative value of 1.25 for *D* (resulting from carrier status of an additional risk allele of overall smaller effect size) would mean that the P_R score of 100% could be reached at an earlier age, whereas a total value of 0.75 for *D* (i.e. co-inheritance of a protective allele) would lower the P_R score to 81.9% at age 39 years.

Similarly, a 70-year-old Japanese man carrying a duplication of the wild-type *SNCA* allele on one sister chromatid ($n = 3$ *SNCA* alleles; $D = 0.75$; $I = 0.5$) (Nishioka *et al.*, 2006) with no known significant exposure to an environmental risk factor associated with PD ($E = 0.02$ adjusted for age; Table 1) will have a P_R score of $(0.02(E) + 0.75(D) + 0.5(I)) \times 1.2(G) \times 70(T) = 106.68\%$. According to the formula, this man is expected to have expressed his typical PD phenotype by the age of 65.62 years ($P_R = 100\%$) (Trinh *et al.*, 2014). Entering a higher value for *E* (if he had also been exposed to identifiable, PD-associated risk factors during adulthood) would increase his P_R score, and thus, measurably lower this man's AOO, and by inference, the time of diagnosis. In contrast, epigenetic silencing of *SNCA* alleles in this man (which could be deduced from biomarker studies *in vivo*) would lower the value entered for '*T*', reduce the final score, and would thus increase the predicted AOO. Examples for select genotypes that underlie dominantly inherited PD/parkinsonism (Marras *et al.*, 2016) and their assigned values under factor *D* (and *I*) can be found in Table 1.

Case 2: bi-allelic PARKIN mutation-linked, young-onset parkinsonism

To further build our model, we next examined the scenario of a 34 year-old female, compound heterozygote mutation carrier at the *PARK-Parkin* locus from the 'South Tyrolean kindred LA' in Northern Italy, which is known for the occurrence of young-onset parkinsonism (Pramstaller *et al.*, 2005; Marras *et al.*, 2016).

Step 1 (factor E). As with case #1, we first assumed that no major environmental risk factor played a role in the expression of her parkinsonian phenotype at a young age ($E = 0.00$; Table 1).

Step 2 (factor D). Given that both of her *PARKIN* mutations result in the truncation (and thus inactivation) of encoded Parkin proteins, we assigned the total value of 2 (2×1) for *D* (Table 1).

Step 3 (factor I). As suggested by evidence collected at autopsy from human patients and generated in animal models of parkin deficiency (e.g. Itier *et al.*, 2003; Palacino *et al.*, 2004; Periquet *et al.*, 2005; Kitada *et al.*, 2009), loss of the protein's function promotes the progressive degeneration of dopamine neurons due to rather cell-selective mitochondrial dysfunction, a steady rise in ROS levels and the reduction in axonal maintenance; hence, we assigned a value of 1 for factor *I* in this genotypic scenario (Table 1).

Step 4 (factor G). The value for factor *G* was entered as 0.8 for this woman (Table 1).

Step 5 (factor T). Her age, 34, was entered as the value for variable *T*.

Step 6 (score calculation). The P_R score was calculated as $(0.00(E) + 2(D) + 1(I)) \times 0.8(G) \times 34(T) = 81.6\%$, which indicates that she is expected to meet criteria for the clinical diagnosis of parkinsonism at the age of 41.7 years (P_R score = 100%) (Lucking *et al.*, 2000; Pramstaller *et al.*, 2005; Klein *et al.*, 2007) (Fig. 1).

Comments. As with case #1, carrying additional variant genotypes at other loci would change the cumulative values for factor *D* and *I* (up- or downward), which is predicted to move the AOO and the subsequent time of diagnosis for her parkinsonism into the 20s, or as high as above 60 years, as suggested by the published literature of *PARK-Parkin* mutation carriers (Lucking *et al.*, 2000; Pramstaller *et al.*, 2005; Klein *et al.*, 2007). Similar scenarios could be drawn for carriers of pathological mutations in both alleles of genes encoding for DJ-1 and PINK1, which are known to lead to an indistinguishable phenotype of recessive, young-onset parkinsonism (Lucking *et al.*, 2000; Bonifati *et al.*, 2003; Valente *et al.*, 2004).

Over the years, researchers have vigorously debated whether carrier status of only one mutant allele linked to recessive parkinsonism (e.g. *PARK-Parkin*; *PARK-PINK1*) represents a rare 'single hit' leading to PD, such as via a possible dominant-negative gain-of-function effect under exceptional circumstances, or alternatively, whether it could serve as a substantial risk factor for late-onset PD [reviewed in: (Klein *et al.*, 2007)]. Upon validation, our P_R score model could serve as a platform to quantitatively assess the contribution of other genetic factors in the expression of a parkinsonian phenotype in the latter scenario. Examples for select genotypes underlying recessively inherited parkinsonism and their assigned values for factor *D* (and *I*) can be found in Table 1.

Case 3: neurotoxin-associated parkinsonism in a young adult

Strictly for modeling purposes, we next examined *bona fide*, environmental pathogen-linked cases of secondary parkinsonism with 'high penetrance'. There, we assumed, for example, that victims of a neurotoxic exposure carry a relatively small (but not necessarily, negligible), genetic burden to their overall disease risk (Kitada *et al.*, 2012). Although an exclusively environmental contribution to generate parkinsonism in humans is exceedingly rare (Langston *et al.*, 1983), such variants have been described as the result of accidental intravenous (i.v.) administration of toxicant-laced, illicit drugs prepared in home laboratories [e.g. meperidine contaminated by 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), or methcathinone contaminated by high manganese levels] (Langston *et al.*, 1983; Stepens *et al.*, 2008). Based on their chemical nature, route of exposure and cumulative dose, select compounds may have exceptional tropism to confer toxicity towards neurons of the basal ganglia circuitry in vertebrates, thereby leading to rapid-onset parkinsonism (Langston *et al.*, 1983; Stepens *et al.*, 2008).

We therefore considered the scenario of a 28-year-old man, who self-administered MPTP-containing meperidine by i.v. injection twice.

Step 1 (factor E). We scored his value for factor *E* as 2 reflecting his total neurotoxin exposure burden (Speciale, 2002; McCormack *et al.*, 2008) (Table 1).

Step 2 (factor D). Variable *D* was assigned the value of 0.01 reflecting the smaller (but not insignificant) contribution by genetics to his overall susceptibility to MPTP (Dauer *et al.*, 2002; Fornai *et al.*, 2005; Pattarini *et al.*, 2007) (Table 1).

Step 3 (factor I). From many toxicological studies of MPTP exposure in rodents and primates (e.g. Dauer *et al.*, 2002; Fornai *et al.*, 2005; McCormack *et al.*, 2008; Fox & Brotchie, 2010), we concluded that this man will have developed mitochondrial impairment with a marked rise in ROS levels, which underlies the degeneration of dopamine cells in the *S. nigra* of MPTP-treated mammals. We therefore entered the value of 1.0 under factor *I* (Table 1).

Step 4 (factor G). The value for his sex was entered as 1.2 (Table 1).

Step 5 (factor T). His age, 28, was entered as the value for factor *T*.

Step 6 (score calculation). Calculation of his P_R score $(2(E) + 0.01(D) + 1.0(I)) \times 1.2(G) \times 28(T)$ amounted to 101.1%, which reflects the fact that he has met criteria for parkinsonism. In the absence of any neurotoxicant exposure, his P_R score would have been 0.3%, which is consistent with the published literature regarding the proband's *a priori* probability to develop PD at that age (Berg *et al.*, 2015) (Fig. 1). Note, a lower total value for variable *E*, for example, due to a lesser cumulative dose of neurotoxin exposure, would have reduced his P_R score, and thus, markedly delayed the predicted AOO and time of diagnosis.

Comments. Experiments in mice have shown that the targeted deletion of both *dj-1* alleles worsens the rise of ROS in MPTP-treated animals (and thus, further lowers neuronal survival) (Kim *et al.*, 2005), thereby highlighting the fact that variants in genes encoding modifiers for neurotoxicant uptake, its import into mitochondria, and cellular ROS responses could further alter the cumulative values for *D* and *I*, and thus change the outcome of an acute (or chronic) neurotoxin exposure in humans.

In addition to the cases listed above and for building the model, we also examined other environmental factors that have previously been linked to the development of secondary parkinsonism in humans to inform our prediction paradigm; for example, we considered cases of recurrent, (sub)concussive head traumas, such as those sustained by athletes during years of practicing contact sports (Mez *et al.*, 2013), or illnesses following host invasion by select xenobiotics, such as a viral infection leading to an encephalitis (Litvan *et al.*, 1998; Reid *et al.*, 2001; Jang *et al.*, 2009; Tappe & Alquezar-Planas, 2014) (Table 1). Of note, autopsies of patients with parkinsonism as a result of systemic viral illness or due to recurrent (sub)concussive events have shown, among other neuropathological findings, a dysregulation of *MAPT*-encoded tau protein (i.e. hyperphosphorylation and insolubility of aggregates) (Jellinger, 2009; Mez *et al.*, 2013). In accordance, and for further consideration, we have entered value estimates for the related factors *E* and *I* in Table 1.

Scenarios for typical, late-onset Parkinson disease

Case 4: *GBA* mutation-linked risk to develop late-onset Parkinson disease

As of 2016, heterozygous variants encoding point mutations at the *PARK-GBA* locus (Marras *et al.*, 2016) represent the commonest,

known genetic risk factor for typical, late-onset PD in non-Ashkenazi subjects (frequency rate, > 8%) and Ashkenazi Jews (frequency of carrier status, > 20%) vs. control groups without PD (~ 1 and > 3%, respectively) (Sidransky *et al.*, 2009; Gan-Or *et al.*, 2015). According to a report regarding risk-to-phenotype conversion rates, the penetrance rate of heterozygous *GBA* mutations (and even of homozygous mutation carriers that have developed Gaucher disease, type-I) is < 10% by the age of 80 years (Alcalay *et al.*, 2014). These results strongly suggested to us that additional, 'hidden factors' are essential to express the PD phenotype in *GBA* risk allele carriers.

We present the case of a 40 year-old, Caucasian, non-Ashkenazi man without any neurological symptoms (or signs), who carries a heterozygous p.L444P mutation at the *PARK-GBA* locus. We entered the following parameters into the P_R EDIGT formula.

Step 1 (factor E). During the interview, he informs the examiner that he has no history of constipation and no known, previous exposure to other, PD-associated environmental risk modifiers. In accordance with his age, the value 0.00 is entered under factor *E* (Table 1).

Step 2 (factor D). Because of his sequencing-confirmed *GBA* mutation carrier status (and in the absence of any other known, risk modifying allele), the value 0.5 is entered under factor *D* (Table 1).

Step 3 (factor I). Multiple laboratories including ours have demonstrated that the expression of mutant *GBA1* protein leads to an elevated total concentration for α -synuclein of up to 25% in select compartments of neural cells *in vivo* (e.g. Cullen *et al.*, 2011; Sardi *et al.*, 2011). The effect of *GBA1* protein mutations in promoting α -synuclein pathology has also been confirmed in human autopsy studies (e.g. Eblan *et al.*, 2005). For this reason, under factor *I*, we assigned the value of 0.25 (Table 1).

Step 4 (factor G). The proband's sex is male; thus, we entered 1.2 for factor *G*.

Step 5 (factor T). The value 40 for the age of the subject was entered under variable *T*.

Step 6 (score calculation). The P_R score was calculated as 36.0%. In other words, at the current age of 40 years, the cumulative PD incidence rate in this individual amounts to 36%; according to the formula, at age 60 years, the rate would be 46.35%.

Comments. If the same man reported a > 20 year history of constipation (as a surrogate marker for past encounters in the GI tract of one or more environmental pathogen with relevance to PD), the value of 1 would be entered for factor *E* (Table 1), and his P_R score would increase substantially. Of note, an interaction between constipation and the dysregulation of human α -synuclein has been reported in animal models of PD (Kuo *et al.*, 2010) and may occur throughout the human colon including the appendix (Gray *et al.*, 2014). At age 40 years, this man's P_R score would then be calculated as 84.0%. For demonstration purposes, at the age of 60 years his cumulative PD incidence rate would amount to a P_R of 126%, which – according to our formula – would correspond to the clinical diagnosis of typical PD at the age of 47.61 years. In contrast, in the absence of any *GBA* mutation (even with constipation as a substantial risk factor present), this man's P_R score would have decreased to 81.72% $[(1(E) + 0.01(D) + 0.125(I)) \times 1.2(G) \times 60(T)]$ at the age of 60 years. Of note, the effects of a mutant *GBA* allele in significantly lowering the AOO (and thus, the related time of formal

diagnosis) for typical PD in affected carriers have now been published in multiple ethnicities (e.g. Alcalay *et al.*, 2014).

In the latter scenario, the *bona fide* PD risk factor of constipation could have been substituted with, for example, anosmia, as confirmed by a validated smell test (and which would have been entered as value 1 for *E*), or hyposmia (entered as value 0.5 for *E*). In our model, the gradual loss of a subject's sense of smell serves as a surrogate for past encounters in the nasal cavity of one or more significant environmental pathogen with relevance to PD (as constipation did for the GI tract), leading to the initiation of a disease process (factor *I*) beginning in the olfactory epithelium (Duda *et al.*, 1999; Saito *et al.*, 2016) (Table 1). The bi-directional effects of individual risk modifiers in the exposome (factor *E*) and genome (factor *D*) of a person as well as the corresponding tissue effects, [which the network of interactions (captured by factor *I*) has on the P_R score] underlie the predicted time of PD diagnosis (P_R score = 100%); the dynamic nature of these changes is graphically displayed in Fig. 1 and Fig. 2.

Case 5: *LRRK2* mutation-linked, late-onset Parkinson disease with reversed sex bias

Carrier status of a heterozygous mutation at the *PARK-LRRK2* locus at few select codons (Marras *et al.*, 2016) is currently considered the second most frequent, genetic risk factor for late-onset, sporadic PD (after *GBA* variants) with an estimated, mean prevalence rate of 1–2% in North America (Lesage *et al.*, 2006; Ozelius *et al.*, 2006; Heckman *et al.*, 2013; Bozi *et al.*, 2014; Trinh *et al.*, 2014; Kang & Marto, 2016). Mutations in the *LRRK2* gene had been initially identified as the cause of rare, dominantly inherited, familial PD in multiple pedigrees (Paisan-Ruiz *et al.*, 2004; Zimprich *et al.*, 2004), but many questions surrounding the causative mechanisms by which *bona fide* *LRRK2* mutants promote brain pathology (either directly, or indirectly) at an overall lower than the expected penetrance rate have remained unanswered.

We therefore examined the scenario of a 51-year-old woman with a 10-year history of reduced sense of smell (i.e. hyposmia), but no other neurological findings. She carries a heterozygous p.G2019S mutation in the *LRRK2* gene (Gaig *et al.*, 2014). Therefore, we entered the following parameters into our formula to calculate the cumulative PD incidence rate.

Step 1 (factor *E*). We entered the value 0.5 under factor *E* because of her hyposmia (she had no other known PD-associated environmental risk modifier in her history). Her reduced olfaction, as confirmed by standardized testing, had been attributed to recurrent congestions and the presence of nasal polyps, and was interpreted by us as surrogate marker for past encounters of one or more environmental pathogen with relevance to PD within her URT (Table 1),

Step 2 (factor *D*). For her sequencing-confirmed *LRRK2* mutation carrier status, the value 0.5 was entered under factor *D* (Table 1).

Step 3 (factor *I*). Given the fact that select point mutants of *LRRK2* have recently been shown to dysregulate inflammation in humans and mice downstream of infections (e.g. Halliday *et al.*, 2011; Daher *et al.*, 2015; Dzamko & Halliday, 2012; Fava *et al.*, 2016) (B. Shutinoski *et al.*, manuscript in preparation), we entered the value of 0.5 under factor *I*; it comprises 0.25 for dysregulation of inflammation, and 0.25 for the suspected upregulation of α -synuclein (Beatman *et al.*, 2016), or tau (Table 1). Note, accumulation of intracellular, insoluble α -synuclein or tau is seen at autopsy in the majority of

patients with mutant *LRRK2*-associated parkinsonism (Zimprich *et al.*, 2004; Rajput *et al.*, 2006; Kalia *et al.*, 2015).

Step 4 (factor *G*). Intriguingly, in recent studies on the conversion rate of risk-to-phenotype in mutant *LRRK2* carriers between sexes in select ethnicities (and geographies), researchers have documented a female rather than male sex bias (e.g. Cilia *et al.*, 2014; Marder *et al.*, 2015). Therefore, we entered the sex/gender-adjusted value of 1.2 under factor *G* (Table 1).

Step 5 (factor *T*). The value 51 was imputed under variable *T*.

Step 6 (score calculation). The P_R score for this woman was calculated as 91.8%. According to our formula, she is predicted to convert her elevated disease risk into the diagnosis of typical PD by the age of 55.56 years (P_R score, 100%). If this carrier were a man, the model predicts that the time of his PD diagnosis would occur at age 76.5 years (P_R score, 100%) because his value for *G* would be imputed as 0.8 instead (based on the observed reversal of sex bias) (Table 1). The effects by a mutant *LRRK2* allele on significantly lowering the AOO (and thus, the related time of diagnosis) for typical PD in affected women have been published in at least two cohorts (e.g. Cilia *et al.*, 2014; Marder *et al.*, 2015).

Comments. Intriguingly, in a study of monozygotic twins carrying a heterozygous p.G2019S mutation in *LRRK2* with confirmed identity of their genome (Xiromerisiou *et al.*, 2012), the authors described discordance for the expression of the PD phenotype by over 10 years.

Let us therefore examine the theoretical case of our proband's identical twin sister, who has no history of recurrent nasal infections and no hyposmia on formal testing (confirmed by an UPSIT score of > 34/40). The twin's score card for cumulative incidence risk includes the following assigned values: 0.005 for factor *E* (given her age and without a known, PD-relevant exposure history to xenobiotics in the URT or GI tract); 0.5 for factor *D*; 0.001 for factor *I* given the inferred paucity of tissue response(s) in the absence of a known pathogen at her age (Table 1); 1.2 for *G*; and 51 for variable *T*. The twin's P_R score would be 30.97% at 51 years and 36.50% at age 60 years. According to our model, the normosmic twin (provided she continues to lack identifiable, PD-relevant factors in her exposome that would initiate a disease process later; Table 1) would still not have developed an *LRRK2*-associated brain disorder at the age of 80 years ($P_R = 51.26\%$). Therefore, the network of interactions between the identical genome of the twin sisters (identical value for *D*) with variable elements in their exposome (variable values for *E*) likely results in distinct tissue responses. We currently score these with different values for factor *I*; if true, this concept could explain the marked discordance for PD in the monozygotic twins reported by Xiromerisiou *et al.* The dynamic, bi-directional effects of interacting risk modifiers on the P_R score, and thus on the predicted AOO and diagnosis of PD, are graphically shown in Fig. 1 and Fig. 2.

The single publication to date of discordant twins with the same p.G2019S point mutant-carrying allele –when taken together with our recent experimental findings regarding *LRRK2*'s role in complex diseases– places the emphasis on necessary environmental triggers and related host response(s) to express a disease phenotype (Hakimi *et al.*, 2011; Hawkes, 2013). Our model therefore may shed light on the three vexing issues in *LRRK2* biology mentioned above: First, the interdependence of gene–environment interactions triggering tissue responses also accommodates the fact that brains from patients with mutant *LRRK2* genotypes have been associated with pleomorphic neuropathology, such as with evidence of

dysregulated tau but no accumulation of misfolded α -synuclein, or *vice versa* (Zimprich *et al.*, 2004; Rajput *et al.*, 2006; Kalia *et al.*, 2015). We speculate that distinct environmental triggers, such as recurrent (sub)concussive head traumas or systemic infections (variable factor *E*) that trigger encephalitis-type changes, could be responsible for promoting tau dysregulation in some carriers of a *LRRK2* mutation, even within the same pedigree (shared risk factor *D*). There, other affected members might develop α -synuclein-positive pathology (variable factor *I*) (Zimprich *et al.*, 2004; Rajput *et al.*, 2006; Hakimi *et al.*, 2011). We have therefore entered head traumas (Taylor *et al.*, 2016) and infections (Masliah *et al.*, 1994; Bu *et al.*, 2015) as PD risk-associated environmental triggers into our first draft for a score sheet (Table 1).

As demonstrated, our P_R EDIGT model also helps explain the intriguingly variable penetrance rates that have been recorded for the same *LRRK2* mutation across ethnicities, possibly due to an even higher exposome burden in some regions, or the presence of genetic modifiers of *LRRK2* in select geographies (Trinh *et al.*, 2014, 2016). Third, this interdependence between gene–environment interactions and tissue-specific response(s) (factor *I*) could possibly explain the association of certain *LRRK2* genotypes with the risk of developing Crohn’s disease and leprosy. Why? Because without chronic exposure to a dysregulated gut microbiome and without infection by *M. leprae*, respectively, these two complex diseases would not be expressed (Hakimi *et al.*, 2011; Rocha *et al.*, 2015; Fava *et al.*, 2016).

Furthermore, the interdependence of several essential factors may also explain why mutant *Lrrk2*-expressing animals show no discernible PD-like phenotype in the absence of exposure to a microbial trigger (e.g. Herzig *et al.*, 2011; Moehle *et al.*, 2012; Ness *et al.*, 2013; Daher *et al.*, 2015). Last but not least, our model also serves

as a platform to examine conjugal cases of PD, i.e. the concordance of parkinsonism between partners that live together over decades (shared factor *E*), but are biologically unrelated (variable, but positive, values for factor *D*) (Willis *et al.*, 2010; Rajput *et al.*, 2016).

Case 6: probability of PD in a 50-year-old smoker with a positive family history

We posed the following question relevant to discussions in doctors’ offices and the planning of future clinical trials aimed at preventing PD: In a healthy adult, would the limited availability of concrete information regarding genetic risk and exposure history still permit the calculation of overall incidence rate for developing PD later in life? Let us therefore consider the scenario of a 50-year-old smoker, who was medically and neurologically healthy at that age. The proband has a first-degree relative (i.e. his father) with late-onset PD (Fig. 3).

Step 1 (factor E). During the interview, he denied any consumption of caffeinated beverages. A value of -0.5 was imputed under factor *E* given his less than 20-year history of cigarette smoking. As an environmental modifier, ‘current smoking’ status has been consistently shown to be associated with reduced PD risk (e.g. Driver *et al.*, 2009) [reviewed in: (Bellou *et al.*, 2016)] (Table 1).

Step 2 (factor D). For his not yet determined genetic status but elevated theoretical risk given his positive, independently confirmed family history (Sveinbjornsdottir *et al.*, 2000; Elbaz *et al.*, 2003; Nalls *et al.*, 2015), the value of 0.5 was entered under factor *D* (Table 1).

Step 3 (factor I). Given the lesser likelihood for the initiation of a tissue response that promotes PD resulting from altered interactions between the subject’s genome and exposome (which we postulate may be due to the protective effects of smoking), we entered the total value of 0.25 under factor *I* (i.e. we equated his possible tissue response(s) to that of a proband carrying a mutant *GBA* allele or an expanded, Rep1-positive *SNCA* allele (Chung *et al.*, 2014); Table 1).

Step 4 (factor G). We entered the value of 1.2 for his sex as per the *a priori* probability of PD in the general population (Berg *et al.*, 2015) under factor *G* (Table 1).

Step 5 (factor T). The value 50 corresponding to his age was imputed under factor *T*.

Step 6 (score calculation). The computed P_R score at age 50 years was 15% (Fig. 3). When asked about his probability to develop parkinsonism at age 70 years, which was the age of diagnosis for his father’s typical PD, the theoretical incidence rate would be calculated as 21.0%; this, provided the subject indeed carried the same risk allele that played a role in his father’s illness and that he continued to smoke (see Fig. 3, where blue dots indicate calculated P_R scores).

Comments. When the subject was seen again in the clinic at age 60 years, he reported the cessation of smoking at age 50 years and the presence of chronic constipation for now nearly a decade. A revised incidence risk assessment would incorporate an updated value for *E* as 0.0 (i.e. -0.25 for the former smoking status; $+0.25$ for constipation of < 10 years; Table 1), thereby leading to a P_R score of 54.0% at the

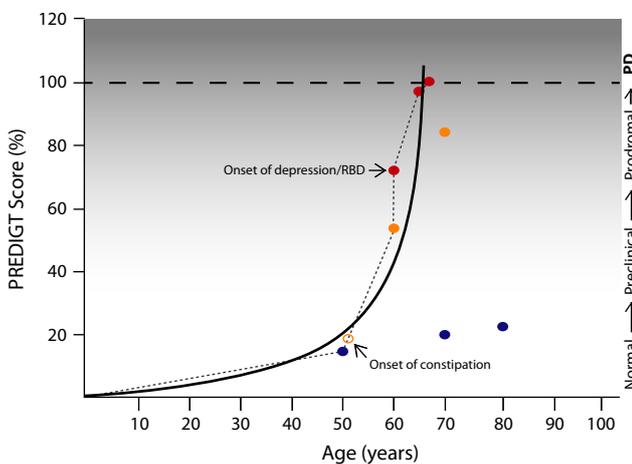


FIG. 3. Graphic representation of cumulative incidence rate for PD in a 50-year-old man. The prediction of Parkinson disease (PD) in a 50-year-old smoker is depicted (see scenario #6 in the text). *Blue dots*: Incidence rates are calculated based on the individual’s current smoking status, a confirmed, positive family history of PD, the inferred tissue response(s), sex/gender, and age: P_R EDIGT scores of 15% at age 50; 21% at age 70; and 24% at age 80 years are shown. *Yellow dots*: The calculated cumulative incidence rate for PD has changed after cessation of smoking at age 50 years and the onset of constipation shortly thereafter (open yellow circle): P_R EDIGT scores, 54% at age 60, and 84% at age 70 years. *Red dots*: The diagnosis of new-onset depression (or a REM sleep behaviour disorder; RBD) at age 60 years changes the cumulative incidence rate for PD, as represented by a P_R EDIGT score of 72% at age 60, of 97.5% at age 65, and the projected diagnosis of typical PD by 66.67 years (score, 100%). The course of disease development (solid black line) was inferred based on P_R EDIGT scores generated at multiple time points. Clinical stages are as described in the text and in Fig. 1.

age of 60 years. Based on the formula, we calculated a projected score of $P_R = 84.0\%$ at age 70 years $[-0.25 + 0.5$ (the latter value reflecting constipation for > 10 years) $(E) + 0.5(D) + 0.25(I) \times 1.2(G) \times 70(T)$ (Fig. 3, where yellow dots indicate revised P_R scores).

With inclusion of this proband in sequencing studies of his family to identify the genetic nature (and the presence or absence) of any risk allele, and his participation in future biomarker studies that could accurately assess his degree of an initiated host response (such as through the quantification of analytes in CSF or blood, or based on markers from radiographic/nuclear medicine studies) (Mollenhauer *et al.*, 2011; Berg *et al.*, 2015; Chahine *et al.*, 2016), his P_R score could be updated to impute better informed values for factors D and I . By inference, a practitioner's ability to forecast this man's likelihood of PD would be more accurate.

However, let us assume that even without further knowledge of his genetic variation risk and fluid analysis-based biomarker status, this man was being followed regularly by his doctors. At age 60 years, he now also developed new-onset anxiety and depression (or, alternatively, he was diagnosed with REM sleep behaviour disorder). We interpreted this change as reflection of a disease process that has reached the central nervous system (i.e. the prodromal stage). Therefore, we updated the score sheet under factor I to the total value of 0.5 (Table 1). This would lead to a revised P_R score of 72.0% at age 60 years $[-0.25$ (remote smoking status) $+ 0.25$ (constipation of < 10 years) $(E) + 0.5(D) + 0.5(I) \times 1.2(G) \times 60(T)$] (Fig. 3). The cumulative P_R score predicted during a follow up visit at age 65 years would be 97.5%, and would climb to 105% at age 70 years $[-0.25 + 0.5$ (accounting for constipation for 10+ years) $(E) + 0.5(D) + 0.5(I) \times 1.2(G) \times 70(T)$]. Thus, according to our formula, we would predict the diagnosis of PD in this man at the age of 66.67 years (P_R score = 100%) (Fig. 3; where red dots indicate scores based on updated information at the time of follow up visits).

Case #6 therefore highlights a scenario by which a multi-component formula, which is based on clinically available information and pre-determined surrogate markers, was employed in the context of a hypothesis driven model; it was then applied to the theoretical encounters between a subject without a neurological disorder and his/her health care workers. The P_R EDIGT score model could thus inform investigators as to the relative incidence rate of PD in a specific individual several years prior to the diagnosis of parkinsonism (Fig. 3). Case #6 also highlights the three crucial opportunities for possible interventions in the future to change the trajectory of such disease development: (i) For neuroprevention at age 50 years with the recognition of a possible genetic risk; as well as (ii) At around age 55 following the onset of chronic constipation; and (iii) For neuroprotection after age 60 years with the development of previously absent anxiety and depression (or a recently diagnosed REM sleep behaviour disorder).

Discussion

Risk stratification for decision-making has evolved into important tools for medical practitioners in both clinical and research settings, where they are applied to the prevention of illness, avoidance of complications, and slowing of disease progression. This has led to the development of validated and well-established scoring systems, such as those employed in the risk assessment for annual embolic stroke from atrial fibrillation (Hsu *et al.*, 2016), of coronary events associated with dyslipidaemia (Preiss & Kristensen, 2015), and of deep vein thrombosis and its complications, such as pulmonary emboli (Modi *et al.*, 2016).

Here, we created the P_R EDIGT score model to calculate the cumulative PD incidence rates in healthy adults where a P_R score of 100% (or greater) corresponds to the presence of clinically diagnosed, typical PD (Postuma *et al.*, 2015) (Figs. 1, 3). While the formula-based scoring system was principally designed to accommodate our view for the pathogenesis of PD/parkinsonism, it could also be employed in future research settings, such as in observational and interventional studies. For this purpose, the P_R EDIGT score model needs to be transformed into a formula that turns cumulative incidence rates into a probability based risk algorithm. There, final calculations are restricted to approximate predictive values that range between 0 and 1 (with 0 indicating the complete absence of neurological signs, and with 1 equating the clinical diagnosis of PD) and that assign relative measures, such as through proportional hazards, for prediction outcomes. Recently, such transformations were successfully completed in concrete applications, e.g. in the prediction of outcomes related to cognitive function in PD (Locascio *et al.*, 2015) and to mortality in a large North American population (Manuel *et al.*, 2016). Most importantly, the P_R EDIGT score model has to be validated, and likely be further refined, in real-life settings, both retrospectively, using cross-sectional, case-controlled cohorts, as well as prospectively, by testing it in longitudinal population cohorts (Mollenhauer *et al.*, 2011, 2013a; Locascio *et al.*, 2015; Chahine *et al.*, 2016; Kang *et al.*, 2016).

While some disease progression models exist (Locascio *et al.*, 2015; Nalls *et al.*, 2015; Chahine *et al.*, 2016; Venuto *et al.*, 2016) and a risk assessment tool for prodromal PD has recently been published (Berg *et al.*, 2015), the P_R EDIGT score is unique in that it represents the first platform to quantify cumulative incidence rates for PD in neurologically healthy adults. We consider the strengths of this tool to include the following 6 aspects: (i) It was founded on conservative interpretations of concrete epidemiological evidence, established genetic insights, well-documented sex differences as well as accepted pathophysiological mechanisms from *in vivo* studies; (ii) The P_R EDIGT model, while hypothesis-driven, functions within the recently revised criteria for the diagnosis of prodromal illness as well as for typical PD (Berg *et al.*, 2015; Postuma *et al.*, 2015); (iii) Identifying relevant risk categories can be done by history-taking from probands, careful review of their family history (Elbaz *et al.*, 2003) and clinical examination. Once identified, these factors are assigned values in a ready-to-use score card system (Table 1). Therefore, the P_R EDICT formula itself is easy to use; (iv) The model does not favour one variant of parkinsonism (e.g. cases #1–3) vs. another type of PD (e.g. cases of #4–6); rather, because of the integration of multiple risk modalities, it accommodates each one, thereby complementing future efforts to personalize diagnosis and facilitate specific interventions; (v) If validated, it will fill a void, because currently there is no inexpensive, non-invasive and practical research tool to measure cumulative incidence rates for PD in neurologically healthy individuals; and (vi) Our P_R EDIGT score model may signal a demystification process for 'idiopathic PD' by delineating its pathogenesis in accordance with other late-onset, complex illnesses. Several, multifactorial ailments in adults evolve from an innocuous start in the periphery earlier in life, only to fully manifest as disorders of the central nervous system many years later (e.g. multiple sclerosis; stroke due to chronic hypertension; viral encephalitis).

Our tool is still hypothetical in nature. The P_R EDIGT score model is based on our current understanding of risk factors associated with PD incidence, but it could be argued that we presented all six case scenarios in an overly simplified manner because each of the five factors may encompass more nuances. Among them, factor 'T' may

represent the most controversial risk category to justify and quantify. Until future biomarkers better inform us as to tissue changes in real-time, the variable is largely inferred from experimental findings in animal studies and autopsy results regarding the effects of both *D* and *E*. However, the incomplete penetrance of highly pathogenic mutations at the *SNCA* locus (e.g. p.A53T substitution) in *bona fide* carriers [together with the discordance for PD in the (albeit single) case of *LRRK2* G2019S-positive, identical twins] suggested to us that the network of genome–exposome interactions accounts for the initiation of PD in an affected individual vs. an unaffected carrier. Therefore, factor ‘*I*’ was included in the formula to serve as a surrogate best suited to reflect the outcome of all network interactions that initiate and propagate pathology. Hence, we considered its inclusion as an independent risk category superior to the option of simply increasing the values for *E* or *D*.

Our first version of a score card system (Table 1) was designed for easy updates in the future in order to reflect more individualized risk components and to be properly transformed. The P_REDIGT score model will be able to incorporate newly discovered elements in accordance with our growing understanding of disease development and the emergence of objective, validated disease markers [see: (Mollenhauer & Trenkwalder, 2009; Schlossmacher & Mollenhauer, 2010; Calabresi *et al.*, 2016) and references therein] by converting solid evidence into revised values.

Another potential criticism of our approach is that it is based on the idea that the course to typical PD is truly interdependent upon 5 factors (*E*, *D*, *I*, *G*, *T*). This concept was informed by our understanding of several well-known examples of complex diseases in humans (e.g. diabetes, multiple sclerosis, lung cancer and stroke) and is strengthened by recent insights into the range of *LRRK2*-associated illnesses; however, this rationale has not yet been widely accepted as an explanation for ‘idiopathic PD’. This issue could be addressed in longitudinal cohort studies of healthy adults and at risk persons [such as in the PARS cohort (Chahine *et al.*, 2016)] and in better designed animal experiments of disease development.

As pointed out in the introduction section, there is currently no widespread consensus regarding the relative importance of the exposome in PD pathogenesis. In our model, it is essential and contributes to the values entered under factors *E* and *I*. It encompasses – among others – microbiological agents within a person’s exposure history, of which interactions with genes expressed by the host have shown to underlie many late-onset disorders in humans (Pittman *et al.*, 2016). There, we have also been informed by expression patterns and emerging insights into the role of PD-linked genes outside the nervous system, such as for *LRRK2* (Ness *et al.*, 2013; Daher *et al.*, 2015) and *SNCA* (Beatman *et al.*, 2016) and Alzheimer disease-linked *APP*, which play roles in host defences against virulent, microbial pathogens (Ness *et al.*, 2013; Kumar *et al.*, 2016). Moreover, SNPs at several other loci in the human genome (Nalls *et al.*, 2014) have been linked to immunity in genome-wide association studies of multiple PD cohorts, and dysregulated inflammation has been associated with PD risk including in *LRRK2* mutation carriers (e.g. Dзамко *et al.*, 2016). Although a Koch’s principles-based cause and effect relation between xenobiotics and PD has not been demonstrated, we and others consider the infectious exposure history of an individual to be a critical factor when assessing a subject’s overall risk to develop PD (Bu *et al.*, 2015); we have thus included such events (and surrogates thereof) as a quantifiable risk modulator into our score sheet.

Moreover, given the suggested progression routes of PD from the gut and nasal cavity to the brain (Braak *et al.*, 2004; Braak & Del Tredici, 2008), exposure history by an individual to infectious

organisms and other environmental modifiers within mucosal membranes of the URT and GI tract (Doty, 2009; Bu *et al.*, 2015) may be the most important modulator of PD risk; therefore, we grouped chronic constipation and hyposmia/anosmia under the risk category *E*. Indeed, differences in the microbiome of PD patients relative to normal controls have recently been reported (Scheperjans *et al.*, 2015; Felice *et al.*, 2016; Ghaisas *et al.*, 2016). Although the molecular mechanisms for and consequences of these differences have not yet been delineated, the inclusion of chronic constipation and impaired olfaction into risk models has been pursued by other investigators (Berg *et al.*, 2015; Nalls *et al.*, 2015).

Furthermore, whether xenobiotic-, nutrient-, or toxicant-based in nature, our model has not yet made a more nuanced distinction between monophasic vs. intermittent (recurrent) vs. chronic exposure to environmental pathogens considered under factor *E*. The more detailed assessment and quantification of each subject’s exposome from before birth to infancy and on to young, middle-aged as well as late adulthood (e.g. Chen *et al.*, 2012) will allow the more accurate differentiation and quantification of this variable. Of note, the same criticism (i.e. of the need for a more nuanced quantification) could be made regarding factor *D*. Fortunately, through the employment of standardized procedures clinicians and epidemiologists can now increase our sensitivity and specificity (and their related predictive values) when obtaining family histories of PD; this, to exclude both false positives and false negatives (Elbaz *et al.*, 2003). In parallel, geneticists and bioinformatics experts are actively mining large data sets to delineate individual risk scores into new algorithms to build better DNA-based prediction models (e.g. Nalls *et al.*, 2015).

In conclusion, the P_REDIGT score model evolved from our concept of typical PD as a complex, multifactorial disease. This assessment stems from our interpretation of the published literature regarding insights into other late-onset disorders, clues for ‘PD as an environmental disease’, clues for ‘PD as a genetic disease’ as well as from our own experimental evidence. By carefully weighing individual risk factors with previously established associations to PD in the context of our disease model, and by fitting them into a simple-to-use, mathematical formula, we have created a new prediction tool. The P_REDIGT score system represents – to our knowledge – the first, non-invasive method to possibly predict the cumulative incidence rate for PD in the future. As stated above, the next critical steps are to transform the formula and validate the model in multiple cohorts. Thereafter, our goal would be to test the P_REDIGT prediction model in clinical research settings for stratification and population enrichment in intervention trials. In the future, health care practitioners could employ the P_REDIGT score system (or an improved derivative thereof) to better counsel and care for healthy adults in order to delay the onset of PD and to prevent its full clinical manifestation during the prodromal stage.

Competing interests

The authors declare no conflict of interest.

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Author contributions

M.G.S. conceived and designed the project; all authors contributed to the creation of figures, tables, literature searches and analyses; M.G.S., J.J.T. and T.M. wrote the first draft; all authors reviewed and edited the two versions of the manuscript submitted for peer review.

Abbreviations

AOO, Age of onset; D, DNA; E, exposome; G, gender; GI, gastrointestinal; I, initiation of tissue response; PD, Parkinson disease; P_REDIGT, Predicting the incidence risk for Parkinson disease; REM, rapid eye movement; *S. nigra*, *Substantia nigra pars compacta*; SNP, single-nucleotide polymorphism; T, time; URT, upper respiratory tract.

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